

# Polycyclic groups

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## Introduction

Let  $F$  be free group on a non-empty set  $X$ .

A group presentation is a set consisting of  $X$  and a set,  $\mathcal{R}$ , of words in  $X$ .

If  $R$  is the normal closure of  $\mathcal{R}$  in  $F$ , the group  $G$  defined by the presentation is  $F/R$  and is written  $\langle X : \mathcal{R} \rangle$ .

*Example 1.*

$$G = \langle a, b | a^4, b^2, a^b = a^{-1} \rangle$$

$$H = \langle a, b | a^4, b^2 = a^2, a^b = a^{-1} \rangle$$

What can we discover about the structure of  $G$  or  $H$ ?

One area of substantial progress at algorithmic and computational level is in the study of particular quotients of  $G$ .

Examples include abelian,  $p$ -quotient, soluble quotients.

May discover that  $G$  infinite, by examining the invariants of its largest abelian quotient.

Can compute “useful” presentations for other quotients of the group: those which have prime-power order, are nilpotent, or are soluble.

Central feature of these presentations is that they provide a solution to the *word problem*:

Decide if two words in the generators  $X$  represent the same element of  $G$ .

## Outline of lecture series

- Abelian quotients.
- Polycyclic generating sequences: basic properties.

- Polycyclic presentations: consistency and collection.
- Constructing polycyclic presentations.
- Generating descriptions of  $p$ -groups.
- An application: SMALLGROUPS.
- Constructing automorphism groups of  $p$ -groups.
- Deciding isomorphism of  $p$ -groups.

## Abelian quotients

**Lemma 2.**  $G/N$  abelian if and only if  $N \geq G'$ .

Largest abelian quotient of  $G$  is  $G/G'$ .

Structure of this abelian group can be determined fairly readily.

**Definition 3.**  $A$  is in Smith Normal Form if for some  $k \geq 0$  the entries  $d_i = A_{i,i}$  for  $1 \leq i \leq k$  are positive,  $A$  has no other non-zero entries, and  $d_i | d_{i+1}$  for  $1 \leq i \leq k$ .

### Determine the structure of $G/G'$

1. Abelianise the presentation of  $G$  by adding relations to make  $G$  abelian.
2.  $G/G' \cong \mathbb{Z}^n/B$  where  $B$  is a subgroup of  $\mathbb{Z}^n$ .
3. Describe  $B$  by a matrix  $S(B)$ .
4. To obtain the structure of  $\mathbb{Z}^n/B$ , we apply row-and-column operations to  $S(B)$  to convert it to *Smith normal form*  $S$ .
5. We read off abelian invariants of  $\mathbb{Z}^n/B$  from  $S$ .

*Example 4.*  $G = \langle x, y, z | (xyz^{-1})^2, (x^{-1}y^2z)^2, (xy^{-2}z^{-1})^2 \rangle$

Abelianise to obtain

$$G/G' = \langle x, y, z | (xyz^{-1})^2, (x^{-1}y^2z)^2, (xy^{-2}z^{-1})^2, \\ xy = yx, xz = zx, yz = zy \rangle$$

$$\text{Describe } B \text{ by } S(B) = \begin{pmatrix} 2 & 2 & -2 \\ -2 & 4 & 2 \\ 2 & -4 & -2 \end{pmatrix}$$

$$\text{Smith Normal form of } S(B) \text{ is } \begin{pmatrix} 2 & 0 & 0 \\ 0 & 6 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Hence  $G/G' \cong \mathbb{Z}_2 \times \mathbb{Z}_6 \times \mathbb{Z}$  and so it is infinite.

## Polycyclic Groups

**Definition 5.**  $G$  is *polycyclic* if it has a descending chain of subgroups  $G = G_1 \geq G_2 \geq \dots \geq G_{n+1} = 1$  in which  $G_{i+1} \triangleleft G_i$ , and  $G_i/G_{i+1}$  is cyclic. Such a chain of subgroups is called a *polycyclic series*.

Polycyclic groups: solvable groups in which every subgroup is finitely generated.

*Example 6.*  $G = \text{Alt}(4) = \langle (1, 3)(2, 4), (1, 2)(3, 4), (1, 2, 3) \rangle$  where  $V = \langle (1, 3)(2, 4), (1, 2)(3, 4) \rangle \triangleleft G$  and  $\mathbb{Z}_2 = \langle (1, 3)(2, 4) \rangle \triangleleft V$ .

So  $\text{Alt}(4) \triangleright V \triangleright \mathbb{Z}_2$ .

## Polycyclic sequences

Let  $G$  be polycyclic with polycyclic series  $G = G_1 \geq G_2 \geq \dots \geq G_{n+1} = 1$ .

Since  $G_i/G_{i+1}$  is cyclic, there exist  $x_i \in G$  with  $\langle x_i G_{i+1} \rangle = G_i/G_{i+1}$  for every  $i \in \{1, \dots, n\}$ .

**Definition 7.** A sequence of elements  $X = [x_1, \dots, x_n]$  such that  $\langle x_i G_{i+1} \rangle = G_i/G_{i+1}$  for  $1 \leq i \leq n$  is a *polycyclic sequence* (PCGS) for  $G$ .

**Definition 8.** Let  $X$  be a PCGS sequence for  $G$ . The sequence  $R(X) := (r_1, \dots, r_n)$  defined by  $r_i := |G_i : G_{i+1}| \in \mathbb{N} \cup \{\infty\}$  is the sequence of *relative orders* for  $X$ .

Let  $I(X) := \{i \in \{1 \dots n\} \mid r_i \text{ finite}\}$ .

*Example 9.*  $X := [(1, 2, 3), (1, 2)(3, 4), (1, 3)(2, 4)]$  is PCGS for  $\text{Alt}(4)$  where  $R(X) = [3, 2, 2]$  and  $I(X) = [1, 2, 3]$ .

Relative orders exhibit information about  $G$ .

$G$  is finite iff every entry in  $R(X)$  is finite or, equivalently iff  $I(X) = \{1 \dots n\}$ .

If  $G$  is finite, then  $|G| = r_1 \cdots r_n$ , the product of the entries in  $R(X)$ .

*Example 10.* Let  $G := \langle (1, 2, 3, 4), (1, 3) \rangle \cong D_8$ .

a) Let  $G_2 := \langle (1, 2, 3, 4) \rangle \cong C_4$ .

Then  $G = G_1 \geq G_2 \geq G_3 = 1$  is polycyclic series for  $G$ .

$X := [(1, 3), (1, 2, 3, 4)]$  and  $Y := [(2, 4), (1, 4, 3, 2)]$  are PCGS defining this series.  $R(X) = R(Y) = (2, 4)$  and  $I(X) = I(Y) = \{1, 2\}$ .

b) Let  $G_2 := \langle (1, 2)(3, 4), (1, 3)(2, 4) \rangle \cong V$  and  $G_3 := \langle (1, 3)(2, 4) \rangle \cong C_2$ .

So  $G = G_1 \geq G_2 \geq G_3 \geq G_4 = 1$ .

$X := [(2, 4), (1, 2)(3, 4), (1, 3)(2, 4)]$  and  $Y := [(1, 2, 3, 4), (1, 2)(3, 4), (1, 3)(2, 4)]$  are polycyclic sequences defining this series.

$R(X) = R(Y) = (2, 2, 2)$  and  $I(X) = I(Y) = \{1, 2, 3\}$ .

*Example 11.* Let  $G := \langle a, b \rangle$  with

$$a := \begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix} \text{ and } b := \begin{pmatrix} -1 & -1 \\ 0 & 1 \end{pmatrix}.$$

$G \cong D_\infty$ , the infinite dihedral group.

A polycyclic sequence for  $G$  is  $X := [a, ab]$  with relative orders  $R(X) = (2, \infty)$  and finite orders  $I(X) = \{1\}$ .

**Lemma 12.** *Let  $X = [x_1, \dots, x_n]$  be a polycyclic sequence for  $G$  with the relative orders  $R(X) = (r_1, \dots, r_n)$ . For every  $g \in G$  there exists a sequence  $(e_1, \dots, e_n)$ , with  $e_i \in \mathbb{Z}$  for  $1 \leq i \leq n$  and  $0 \leq e_i < r_i$  if  $i \in I(X)$ , such that  $g = x_1^{e_1} \cdots x_n^{e_n}$ .*

*Proof.* Since  $G_1/G_2 = \langle x_1G_2 \rangle$ , we find that  $gG_2 = x_1^{e_1}G_2$  for some  $e_1 \in \mathbb{Z}$ .

If  $1 \in I(X)$ , then  $r_1 < \infty$  and we can choose  $e_i \in \{0 \dots r_1 - 1\}$ .

Let  $h = x_1^{-e_1}g \in G_2$ .

By induction on the length of a polycyclic sequence, we can assume that we know expression of the desired form for  $h$ ; that is,  $h = x_2^{e_2} \cdots x_n^{e_n}$ .

Hence  $g = x_1^{e_1}x_2^{e_2} \cdots x_n^{e_n}$  as desired.  $\square$

*Example 13.*  $G = \text{Alt}(4)$

$X := [x_1 = (1, 2, 3), x_2 = (1, 2)(3, 4), x_3 = (1, 3)(2, 4)]$  is PCGS for  $G$  where  $R(X) = [3, 2, 2]$  and  $I(X) = [1, 2, 3]$ .

$V = \langle x_2, x_3 \rangle$  and  $H = \langle x_3 \rangle$ .

$g = (1, 2, 4)$ .

$gV = x_1^2V$  so  $x_1^{-2}g = (1, 4)(2, 3) \in V$ .

Now  $v := (1, 4)(2, 3)$  satisfies  $vH = x_2H$ , so  $x_2^{-1}v = (1, 3)(2, 4) \in H$ . Hence  $x_2^{-1}v = x_3$  so  $v = x_2x_3$ .

Hence  $g = x_1^2x_2x_3$ .

## Normal form

**Definition 14.** The expression  $g = x_1^{e_1} \cdots x_n^{e_n}$  is the *normal form* of  $g \in G$  with respect to  $X$ .

The sequence  $\exp_X(g) := (e_1, \dots, e_n)$  is the *exponent vector* of  $g$  with respect to  $X$ .

Can define an injective map  $G \rightarrow \mathbb{Z}^n : g \mapsto \exp_X(g)$  from  $G$  into the additive group of  $\mathbb{Z}^n$ . This is *not* a group homomorphism!

## Polycyclic group to presentation?

Exponent vectors of elements of  $G$  can be used to describe relations for  $G$  in terms of  $X$ .

**Lemma 15.** Let  $X = [x_1, \dots, x_n]$  be a polycyclic sequence for  $G$  with relative orders  $R(X) = (r_1, \dots, r_n)$ .

a) Let  $i \in I(X)$ . The normal form of a power  $x_i^{r_i}$  is  $x_i^{r_i} = x_{i+1}^{a_{i,i+1}} \cdots x_n^{a_{i,n}}$ .

b) Let  $1 \leq j < i \leq n$ . The normal form of a conjugate  $x_j^{-1} x_i x_j$  is  $x_j^{-1} x_i x_j = x_{j+1}^{b_{i,j,j+1}} \cdots x_n^{b_{i,j,n}}$ .

c) Let  $1 \leq j < i \leq n$ . The normal form of a conjugate  $x_j x_i x_j^{-1}$  is  $x_j x_i x_j^{-1} = x_{j+1}^{c_{i,j,j+1}} \cdots x_n^{c_{i,j,n}}$ .

## Polycyclic presentation

**Definition 16.** A presentation  $\{x_1, \dots, x_n \mid R\}$  is a *polycyclic presentation* if there is a sequence  $S = (s_1, \dots, s_n)$  with  $s_i \in \mathbb{N} \cup \{\infty\}$  and integers  $a_{i,k}, b_{i,j,k}, c_{i,j,k}$  such that  $R$  consists of the following relations:

$$\begin{aligned} x_i^{s_i} &= x_{i+1}^{a_{i,i+1}} \cdots x_n^{a_{i,n}} \text{ for } 1 \leq i \leq n \text{ with } s_i < \infty, \\ x_j^{-1} x_i x_j &= x_{j+1}^{b_{i,j,j+1}} \cdots x_n^{b_{i,j,n}} \text{ for } 1 \leq j < i \leq n, \\ x_j x_i x_j^{-1} &= x_{j+1}^{c_{i,j,j+1}} \cdots x_n^{c_{i,j,n}} \text{ for } 1 \leq j < i \leq n. \end{aligned}$$

We describe the presentation by  $\text{Pc}\langle x_1, \dots, x_n \mid R \rangle$ . If  $G$  is defined by such a polycyclic presentation then  $G$  is a *PC-group*.

**Theorem 17.** Every polycyclic sequence determines a (unique) polycyclic presentation. Thus every polycyclic group can be defined by a polycyclic presentation.

*Example 18.* Let  $D_8 := \langle (1, 3), (1, 2, 3, 4) \rangle$  with polycyclic sequence  $X := [(1, 3), (1, 2, 3, 4)]$  and relative orders  $R(X) = (2, 4)$ .

Polycyclic presentation defined by  $X$  has generators  $x_1, x_2$ , power exponents  $s_1 = 2$  and  $s_2 = 4$ . Relations are  $x_1^2 = 1$ ,  $x_2^4 = 1$ ,  $x_1 x_2 x_1^{-1} = x_2^3$  and  $x_1^{-1} x_2 x_1 = x_2^3$ .

*Example 19.*  $S_4$  has PCGS

$$X = [(3, 4), (2, 4, 3), (1, 3)(2, 4), (1, 2)(3, 4)]$$

where  $R(X) = [2, 3, 2, 2]$ .

$$\text{Pc}\langle x_1, x_2, x_3, x_4 \mid \begin{array}{l} x_1^2 = x_2^3 = x_3^2 = x_4^2 = 1, x_2^{x_1} = x_2^2, \\ x_3^{x_1} = x_3 x_4, x_3^{x_2} = x_4, x_4^{x_2} = x_3 x_4 \end{array} \rangle$$

### Finite $p$ -groups

Usually write *power-commutator* presentation.

$$\begin{aligned} \text{Pc}\langle x_1, \dots, x_n \mid x_i^p &= \prod_{k=i+1}^n x_k^{\alpha(i,k)}, \quad 0 \leq \alpha(i,k) < p, \quad 1 \leq i \leq n, \\ [x_j, x_i] &= \prod_{k=j+1}^n x_k^{\beta(i,j,k)}, \quad 0 \leq \beta(i,j,k) < p, \quad 1 \leq i < j \leq n. \end{aligned}$$

### An example

Let  $G$  be  $D_{16}$

$$\begin{aligned} \text{Pc}\langle x_1, x_2, x_3, x_4 \mid & x_1^2 = 1, x_2^2 = x_3 x_4, \\ & x_3^2 = x_4, x_4^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = x_4, \\ & [x_3, x_2] = 1, [x_4, x_1] = 1, \\ & [x_4, x_2] = 1, [x_4, x_3] = 1 \rangle \end{aligned}$$

Normal form for elements of  $G$  is

$$x_1^{\alpha_1} x_2^{\alpha_2} x_3^{\alpha_3} x_4^{\alpha_4}$$

where  $0 \leq \alpha_i \leq 1$ .

### Presentation to group?

Every polycyclic presentation defines a polycyclic group.

**Theorem 20.** *Let  $G$  be group defined by  $\text{Pc}\langle x_1, \dots, x_n \mid R \rangle$  with power-exponents  $S$ . Then  $G$  is polycyclic and  $X = [x_1, \dots, x_n]$  is a polycyclic sequence for  $G$ . Its relative orders  $(r_1, \dots, r_n)$  satisfy  $r_i \leq s_i$  for  $1 \leq i \leq n$ .*

*Proof.* Define  $G_i := \langle x_i, \dots, x_n \rangle \leq G$ . The conjugate relations in  $R$  enforce that  $G_{i+1}$  is normal in  $G_i$  for  $1 \leq i \leq n$ . By construction,  $G_i/G_{i+1}$  is cyclic and hence  $G$  is polycyclic. Since  $G_i = \langle x_i G_{i+1} \rangle$  by definition,  $X$  is a polycyclic sequence for  $G$ . Finally, the power relations enforce that  $r_i = |G_i : G_{i+1}| \leq s_i$  for  $1 \leq i \leq n$ .  $\square$

*Example 21.* Let  $G$  be defined by the following polycyclic presentation with power exponents  $S = (3, 2, \infty)$ .

$$G := \text{Pc}\langle x_1, x_2, x_3 \mid x_1^3 = x_3, x_2^2 = x_3, \\ x_1^{-1}x_2x_1 = x_2x_3, x_1x_2x_1^{-1} = x_2x_3 \rangle.$$

Hence  $X = [x_1, x_2, x_3]$  is a polycyclic sequence for  $G$  with relative orders  $R(X) \leq (3, 2, \infty)$ .

But coset enumeration for example shows that the precise relative orders are  $R(X) = (3, 2, 1)$ .

Hence the power exponents in a polycyclic presentation give an **upper bound** for the relative orders only. Cannot read off from the power exponents whether given group is finite or infinite.

### Inconsistent presentations

Equivalently: polycyclic presentations in which two **different** normal words represent the **same** element of the group.

*Example 22.*

$$\text{Pc}\langle x_1, x_2, x_3 \mid x_1^2 = x_2, x_2^2 = x_3, x_3^2 = 1, \\ [x_2, x_1] = x_3, [x_3, x_1] = 1, [x_3, x_2] = 1 \rangle$$

$$x_1x_2 = x_1x_1^2 = x_1^2x_1 = x_2x_1 = x_1x_2x_3.$$

Hence, not every element of the presented group has a unique normal form.

### Consistent presentations

A polycyclic presentation in which every element is represented by exactly *one* normal word is *consistent*.

Equivalently: a polycyclic presentation  $\text{Pc}\langle X \mid R \rangle$  with power exponents  $S$  is *consistent* if  $R(X) = S$ .

Effective algorithm to convert an inconsistent presentation to a consistent one.

*Example 23.*  $G := \text{Pc}\langle x_1, x_2 \mid x_1^3 = 1, x_2^2 = 1, x_2^{x_1} = x_2 \rangle$  defines  $\mathbb{Z}_6$ .

### Collection

A method to determine the *normal form* for an element in a group given by a polycyclic presentation.

**Lemma 24.** *Let  $G = \text{Pc}\langle X \mid R \rangle$  be a polycyclic presentation with power exponents  $S$ . For every  $g \in G$  there exists a word representing  $g$  of the form  $x_1^{e_1} \cdots x_n^{e_n}$  with  $e_i \in \mathbb{Z}$  and  $0 \leq e_i < s_i$  if  $s_i < \infty$ .*

**Definition 25.** Let  $G = \text{Pc}\langle X \mid R \rangle$ . Write word  $w$  in  $X$  as a string  $w = x_{i_1}^{a_1} \cdots x_{i_r}^{a_r}$  with  $a_j \in \mathbb{Z}$ . Assume that  $i_j \neq i_{j+1}$  for  $1 \leq j \leq r-1$  and  $a_j \neq 0$  for  $1 \leq j \leq r$ .

- a) A word  $w$  is *collected* if  $w = x_{i_1}^{a_1} \cdots x_{i_r}^{a_r}$  with  $i_1 < i_2 < \cdots < i_r$  and  $a_j \in \{1 \dots s_j - 1\}$  if  $s_j < \infty$ . Otherwise  $w$  is *uncollected*.
- b) A word  $u$  in  $X$  is a *minimal non-normal subword* of the word  $w$  if  $u$  is a subword of  $w$  and it has one of the following forms:
  - i)  $u = x_{i_j}^{a_j} \cdot x_{i_{j+1}}$  for  $i_j > i_{j+1}$ ,
  - ii)  $u = x_{i_j}^{a_j} \cdot x_{i_{j+1}}^{-1}$  for  $i_j > i_{j+1}$ ,
  - iii)  $u = x_{i_j}^{a_j}$  for  $r_{i_j} \neq \infty$  and  $a_j \notin \{1 \dots s_{i_j} - 1\}$ .

Word is collected if and only if it does not contain a minimal non-normal subword.

### Collected words

*Example 26.*  $G = S_4$  has PCGS

$$X = [(3, 4), (2, 4, 3), (1, 3)(2, 4), (1, 2)(3, 4)]$$

where  $R(X) = [2, 3, 2, 2]$  and

$$\text{Pc}\langle x_1, x_2, x_3, x_4 \mid \begin{array}{l} x_1^2 = x_2^3 = x_3^2 = x_4^2 = 1, x_2^{x_1} = x_2^2, \\ x_3^{x_1} = x_3x_4, x_3^{x_2} = x_4, x_4^{x_2} = x_3x_4 \end{array} \rangle$$

$$\begin{aligned}
x_2x_1 &\mapsto x_1x_2^2 \\
x_1x_2^{-1} &\mapsto x_1x_2^2 \\
x_2^{-1}x_4x_1 &\mapsto x_1x_2x_4 \\
x_4x_3x_2x_1 &\mapsto x_1x_2^2x_4
\end{aligned}$$

### Collection in $p$ -groups

Every element of a  $p$ -group presented by a power-commutator presentation on  $\{x_1, \dots, x_n\}$  can be written as normal word

$$x_1^{\alpha_1}x_2^{\alpha_2} \dots x_n^{\alpha_n}$$

where  $0 \leq \alpha_i < p$ .

Collection: introduced by P. Hall (1934), in the context of nilpotent groups.

Consider collection in context of all semigroup words on  $X$ . Inverses of words may be ignored since they can be eliminated using the power relations.

The input to the process is a word,  $w$ .

- If  $w$  is normal the process terminates.
- If  $w$  is not normal, it has a *minimal non-normal subword*  $u$ , where

$$u = x_i^p \quad \text{or} \quad u = x_jx_i$$

and  $1 \leq i < j \leq n$ .

Now replace  $u$  by

$$\prod_{k=i+1}^n x_k^{\alpha(i,k)} \quad \text{or} \quad x_ix_j \prod_{k=j+1}^n x_k^{\beta(i,j,k)},$$

where  $0 \leq \alpha(\dots), \beta(\dots) < p$ , respectively.

- Resulting word,  $w$ , is now input to the process.

Replacement of minimal non-normal subwords by their normal equivalents results in the construction of a normal word from an arbitrary word.

**Theorem 27.** *Collection terminates.*

If  $w$  contains more than one minimal non-normal subword, a rule is used to determine which of the subwords is replaced by its normal equivalent, thereby ensuring that the process is well defined.

## Collection strategies

- “Collection to the left” – all occurrences of  $x_1$  are moved left to the beginning of the word. Next, all occurrences of  $x_2$  are moved left until they are adjacent to the  $x_1$ 's. *etc.*

P. Hall (1934).

- “Collection from the right” - the minimal non-normal subword occurring nearest the end of a word is selected for replacement.

Havas & Nicholson (1976).

- “Collection from the left” - the minimal non-normal subword nearest the beginning of a word is chosen for collection.

Leedham-Green & Soicher (1990); Vaughan-Lee (1990).

Efficiency of the collection process is affected by the rule.

“Collection from the left”: most efficient.

*Example 28.* A power-commutator presentation for  $D_{16}$  is:

$$\begin{aligned} \{x_1, x_2, x_3, x_4 : & x_1^2 = 1, x_2^2 = x_3x_4, \\ & x_3^2 = x_4, x_4^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = x_4, \\ & [x_3, x_2] = 1, [x_4, x_1] = 1, \\ & [x_4, x_2] = 1, [x_4, x_3] = 1\} \end{aligned}$$

Suppose we collect  $x_3x_2x_1$ .

### “To the left”

$$\begin{aligned} \{x_1, x_2, x_3, x_4 : & x_1^2 = 1, x_2^2 = x_3x_4, \\ & x_3^2 = x_4, x_4^2 = 1, \\ & [x_2, x_1] = x_3, [x_3, x_1] = x_4, \\ & [x_3, x_2] = 1, [x_4, x_1] = 1, \\ & [x_4, x_2] = 1, [x_4, x_3] = 1\} \end{aligned}$$

$$\begin{aligned}
\underline{321} &= \underline{3123} \\
&= \underline{13423} \\
&= \underline{13243} \\
&= \underline{12343} \\
&= \underline{12334} \\
&= \underline{1244} \\
&= 12
\end{aligned}$$

“From the right”

$$\{x_1, x_2, x_3, x_4 : x_1^2 = 1, x_2^2 = x_3x_4, \\
x_3^2 = x_4, x_4^2 = 1, \\
[x_2, x_1] = x_3, [x_3, x_1] = x_4, \\
[x_3, x_2] = 1, [x_4, x_1] = 1, \\
[x_4, x_2] = 1, [x_4, x_3] = 1\}$$

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“From the left”

$$\{x_1, x_2, x_3, x_4 : x_1^2 = 1, x_2^2 = x_3x_4, \\
x_3^2 = x_4, x_4^2 = 1, \\
[x_2, x_1] = x_3, [x_3, x_1] = x_4, \\
[x_3, x_2] = 1, [x_4, x_1] = 1, \\
[x_4, x_2] = 1, [x_4, x_3] = 1\}$$

$$\begin{aligned}
\underline{321} &= \underline{231} \\
&= \underline{2134} \\
&= \underline{12334} \\
&= \underline{1244} \\
&= 12
\end{aligned}$$

**An exercise**

$$G = S_4$$

$$\text{Pc}\langle x_1, x_2, x_3, x_4 \mid x_1^2 = x_2^3 = x_3^2 = x_4^2, x_2^{x_1} = x_2^2, \\
x_3^{x_1} = x_3x_4, x_3^{x_2} = x_4, x_4^{x_2} = x_3x_4 \rangle$$

$$x_3x_2x_1 \mapsto x_1x_2^2x_3$$

- 11 steps using “To the left”.
- 5 steps using “From the left”.

**Number of normal forms**

Given a consistent power-commutator presentation, the set of elements of  $G$  can be regarded as the set of normal words and the group multiplication is defined by collection:

the product of two normal words is the word which results from collecting their concatenation.

Order of  $G$  is the number of normal words.

### Power-commutator presentations: Additional properties

Assume that  $G$ , a  $d$ -generator  $p$ -group of order  $p^n$ , has a consistent power-commutator presentation on  $n$  generators,  $a_1, \dots, a_n$ .

For both mathematical and computational reasons, the power-commutator presentation for  $G$  has additional structure:

1.  $\{a_1, \dots, a_d\}$  is a generating set for  $G$ .
2. For each  $a_k$  in  $\{a_{d+1}, \dots, a_n\}$ , there is at least one relation whose right hand side is  $a_k$ . Exactly one of these relations is taken as the *definition* of  $a_k$ . Either:
  - $a_i^p = a_k$  where  $i < k$  and  $a_i$  is a  $p$ th power of some generator or  $i \leq d$ ,
  - $[a_j, a_i] = a_k$  where  $i < j < k$  and  $i \leq d$ .
3. The power-commutator presentation has a *weight* function defined on it: a generator is assigned a weight corresponding to the stage at which it is added.

A function,  $\omega$ , is defined on the generators of the power-commutator presentation according to the following rules:

- (i)  $\omega(a_i) = 1$  for  $i = 1, \dots, d$ ;
- (ii) if the definition of  $a_k$  is  $a_i^p = a_k$ , then  $\omega(a_k) = \omega(a_i) + 1$ ;
- (iii) if the definition of  $a_k$  is  $[a_j, a_i] = a_k$ , then  $\omega(a_k) = \omega(a_j) + \omega(a_i)$ .

$\omega(a_n)$  is the class of  $G$ .

*Example 29.*

$$\{a_1, a_2, a_3, a_4, a_5 \quad : \quad \begin{aligned} a_1^2 &= a_4, & a_2^2 &= a_3, \\ a_3^2 &= a_5, & a_4^2 &= a_5, \\ [a_2, a_1] &= a_3, & [a_3, a_1] &= a_5 \end{aligned}$$

$a_3$  has definition  $[a_2, a_1]$  and weight 2;

$a_4$  has definition  $a_1^2$  and weight 2;

$a_5$  has definition  $[a_3, a_1]$  and weight 3.

### Why are such features desirable?

Because they permit more efficient algorithms to be developed, both at construction and application stage.

For example, the weights of generators can be used to reduce the amount of computation needed to decide whether or not a given power-commutator presentation is consistent.

### Why are such presentations useful?

- If we have a consistent power-commutator presentation for  $G$ , we can solve the *word problem* for  $G$ .

Given two arbitrary words  $w_1$  and  $w_2$  in the generators of  $G$ , compute normal forms for each of  $w_1$  and  $w_2$ . If normal forms are identical, then the two words are identical.

- Such a presentation exhibits a normal series  $\{G_k\}$  for  $G$ . Many of the algorithms developed to compute properties of  $p$ -groups work down a chain of factor groups.

*General paradigm:* Solve the problem for  $G/G_k$ .

Now extend to solve the problem for  $G/G_{k+1}$ .

Example: determine the number of conjugacy classes of  $G$ .

### How do we compute such presentations?

Given a finitely-presented group, how can we compute a polycyclic presentation for a quotient?

A power-commutator presentation for a finite  $p$ -quotient may be constructed using a  *$p$ -quotient algorithm*.

First such algorithm described by Macdonald (1974).

Focus on an algorithm developed by Havas, Newman and O'Brien: H & N (1980), N & O'B (1996).

### The $p$ -quotient algorithm: A top-level outline

Let  $G$  be a  $p$ -group.

Algorithm uses a chain of normal subgroups

$$G = G_0 \geq G_1 \geq \dots \geq G_k \geq G_{k+1} \dots \geq G_c = 1$$

Works down this chain, using the power-commutator presentation constructed for  $G/G_k$  to write down a presentation for  $G/G_{k+1}$ .

Write down a presentation for a group  $H^*$  which is a downward extension of  $H := G/G_k$  and has  $K := G/G_{k+1}$  as a quotient.

Factor a normal subgroup from  $H^*$  to obtain a presentation for  $K$ .

### The central series

$p$ -quotient algorithm uses a variation of the lower central series known as the *lower exponent- $p$  central series*.

$$G = P_0(G) \geq \dots \geq P_{i-1}(G) \geq P_i(G) \geq \dots$$

where  $P_i(G) = [P_{i-1}(G), G]P_{i-1}(G)^p$  for  $i \geq 1$ .

If  $P_c(G) = 1$  and  $c$  is the smallest such integer then  $G$  has *exponent- $p$  class  $c$* .

### Basic properties of the series

1. A group with exponent- $p$  class  $c$  is nilpotent and has nilpotency class at most  $c$ .
2. If  $\theta$  is a homomorphism of  $G$  then  $P_i(G)\theta = P_i(G\theta)$ .
3. If  $N \triangleleft G$  and the quotient  $G/N$  has class  $c$  then  $P_c(G) \leq N$ .
4. If  $G$  is a finite  $p$ -group then  $P_1(G)$  is the Frattini subgroup of  $G$ .

*Example 30.*

$$\begin{aligned} D_{16} = \text{Pc}\langle a_1, a_2, a_3, a_4 \quad & : \quad a_1^2 = 1, a_2^2 = a_3a_4, \\ & a_3^2 = a_4, a_4^2 = 1, \\ & [a_2, a_1] = a_3, [a_3, a_1] = a_4, \\ & [a_3, a_2] = 1, [a_4, a_1] = 1, \\ & [a_4, a_2] = 1, [a_4, a_3] = 1 \rangle \end{aligned}$$

Can read off terms of central series.

$$\begin{aligned} P_0(G) &= G \\ P_1(G) &= \langle a_3, a_4 \rangle \\ P_2(G) &= \langle a_4 \rangle \\ P_3(G) &= 1 \end{aligned}$$

$G$  has (nilpotency and exponent  $p$ -) class 3.

## A summary

Given a description of a group  $G$ , a prime  $p$ , and a positive integer  $c$ , the  $p$ -quotient algorithm constructs a weighted consistent power-commutator presentation for the largest  $p$ -quotient of  $G$  having class  $c$ .

Description of  $G$  is usually a finite presentation.

## The central series

$p$ -quotient algorithm uses a variation of the lower central series known as the *lower exponent- $p$  central series*.

Let  $H$  be a  $p$ -group.

$$H = P_0(H) \geq \dots \geq P_{i-1}(H) \geq P_i(H) \geq \dots$$

where  $P_i(H) = [P_{i-1}(H), H]P_{i-1}(H)^p$  for  $i \geq 1$ .

If  $P_c(H) = 1$  and  $c$  is the smallest such integer then  $H$  has *exponent- $p$  class  $c$* .

## The initial step

First iteration of the  $p$ -quotient algorithm computes a consistent power-commutator presentation for  $G/P_1(G)$  and an epimorphism from  $G$  onto  $G/P_1(G)$ .

Since  $P_1(G) = [G, G]G^p = \Phi(G)$ ,  $G/P_1(G)$  is the Frattini quotient of  $G$ .

How do we compute  $G/P_1(G)$ ?

Fp-presentation is used to set up a homogeneous system of equations over  $GF(p)$ :

these equations are obtained by abelianising the relations, taking exponents modulo  $p$ , and then writing the result additively.

Solve them to obtain rank of  $G/P_1(G)$ .

## An example

Assume that the input presentation is:

$$\{b_1, \dots, b_6 \quad : \quad b_1b_2 = b_3, b_2b_3 = b_4, b_3b_4 = b_5, \\ b_4b_5 = b_6, b_5b_6 = b_1, b_6b_1 = b_2\}$$

and that  $p = 2$ .

Equations are the following:

$$b_1 + b_2 = b_3 \quad b_2 + b_3 = b_4 \quad b_3 + b_4 = b_5 \\ b_4 + b_5 = b_6 \quad b_5 + b_6 = b_1 \quad b_1 + b_6 = b_2$$

Solve this system of equations by row-echelonisation to obtain the following solutions:

$$b_3 = b_1 + b_2, b_4 = b_1, b_5 = b_2, b_6 = b_1 + b_2.$$

Solution space has dimension 2 and a consistent power-commutator presentation is

$$\{ a_1, a_2 : a_1^2 = 1, a_2^2 = 1, [a_2, a_1] = 1 \}$$

Mod  $P_1(G)$ ,  $b_1 = a_1, b_2 = a_2, b_3 = a_1a_2, b_4 = a_1, b_5 = a_2, b_6 = a_1a_2$ .

In general, if  $d$  is the dimension of the solution space, then the output from the first iteration is power-commutator presentation for  $G/P_1(G)$ :

$$\{ a_1, \dots, a_d : a_i^p = 1, [a_j, a_i] = 1, 1 \leq i < j \leq d \}$$

Mod  $P_1(G)$ , each  $b_i$  can be expressed in terms of the  $a_j$ .

$\{a_1, \dots, a_d\}$  is a subset of  $\{b_1, \dots, b_n\}$ .

### The general iteration

Takes as input:

1. the finite presentation  $\{X, \mathcal{R}\}$  for  $G$ ;
2. a consistent power-commutator presentation for the factor group  $H = G/P_k(G)$ ;
3. an epimorphism  $\theta : G \mapsto H$ , specified by the images of the generators of  $G$ .

The output of this iteration is:

1. a consistent power-commutator presentation for the factor group  $K = G/P_{k+1}(G)$ ;
2. an epimorphism from  $G$  to  $K$ .

### The general iteration

Can be divided into 4 distinct steps.

#### Step 1. Write down presentation for $p$ -covering group

Assume we have constructed a consistent power-commutator presentation for  $H = G/P_k(G)$ .

We now construct a group  $H^*$  which has the property that  $K = G/P_{k+1}(G)$  is a homomorphic image.

We want  $H^*$  to satisfy the following:

- (i)  $H^*/P_k(H^*)$  is isomorphic to  $H$ .
- (ii)  $G/P_{k+1}(G)$  is a homomorphic image of  $H^*$ .
- (iii)  $H^*$  is a  $d$ -generator group;
- (iv)  $H^*$  has class at most  $k + 1$ ;
- (v)  $H^*$  is the largest group satisfying (i) to (iv).

$H^*$  is the  $p$ -covering group of  $H = G/P_k(G)$ .

### Defining the $p$ -covering group

**Theorem 31.** *Let  $H$  be a  $d$ -generator  $p$ -group, let  $F$  be the free group of rank  $d$ , and let  $F/R \cong H$ . Then the  $p$ -covering group,  $H^*$ , of  $H$  is  $F/[R, F]R^p$ .*

$$\begin{array}{c} F \\ \left| \right. \\ R \\ \left| \right. \\ [R, F]R^p \end{array}$$

$R/[R, F]R^p$  is elementary abelian and can be viewed as a vector space over  $GF(p)$ .

### Construct pcp for $p$ -covering group of $G/P_k(G)$

Look at output of  $k$ th stage of the algorithm.

This is a consistent power-commutator presentation, say  $\{a_1, \dots, a_n : \dots\}$ , for  $H := G/P_k(G)$ .

Each of the  $n - d$  generators,  $a_{d+1}, \dots, a_n$ , is defined by one of the relations – it occurs as the right hand side of one of the relations.

Thus, there are  $n - d$  definitions that define the generators  $a_{d+1}, \dots, a_n$ . The remaining  $q$  relations are non-defining and have general form:

$$\begin{aligned} [a_j, a_i] &= a_{j+1}^{\alpha_{j+1}} \dots a_n^{\alpha_n}, 1 \leq i < j \leq n \\ \text{or } a_i^p &= a_{i+1}^{\alpha_{i+1}} \dots a_n^{\alpha_n}, \end{aligned}$$

where  $1 \leq i \leq n$  and  $0 \leq \alpha_k < p$ .

To obtain presentation for  $H^*$ , we transform the power-commutator presentation for  $H := G/P_k(G)$  as follows.

1. New generators  $a_{n+1}, \dots, a_{n+q}$  are introduced, one for each non-defining relation.
2. Each of the remaining (non-definition) relations is modified by inserting one of these generators to its right hand side.
3. Relations making these new generators central and of order  $p$  are added.

*Example 32.*  $G := C_2 \times C_2$ :

$$\{ a_1, a_2 \quad : \quad [a_2, a_1] = 1, \\ a_1^2 = 1 \\ a_2^2 = 1 \}$$

Add new generators or *tails* corresponding to a generating set for  $R/[R, F]R^p$  and relations to make these central and of order  $p$ .

$$\{ a_1, a_2, a_3, a_4, a_5 \quad : \quad [a_2, a_1] = a_3, \\ a_1^2 = a_4, \\ a_2^2 = a_5, \\ a_j^2 = 1, [a_j, a_i] = 1, 3 \leq i < j \leq 5 \}$$

*Example 33.* Let  $H = D_8$ .

$$\{ a_1, a_2, a_3 \quad : \quad [a_2, a_1] = a_3, \\ [a_3, a_1] = 1, [a_3, a_2] = 1, \\ a_1^2 = 1, a_2^2 = a_3, a_3^2 = 1 \}$$

$$\{ a_1, a_2, a_3, a_4, \dots, a_8 \quad : \quad [a_2, a_1] = a_3, \\ [a_3, a_1] = a_4, \\ [a_3, a_2] = a_5 \\ a_1^2 = a_6, \\ a_2^2 = a_3 a_7, \\ a_3^2 = a_8, \\ a_j^2 = 1, 4 \leq j \leq 8, [a_j, a_i] = 1, 4 \leq i < j \leq 8 \}$$

**Step 2. Make the presentation for  $H^*$  consistent**

The presentation for  $H^*$  obtained in this way on  $\{a_1, \dots, a_n, a_{n+1}, \dots, a_{n+q}\}$  is usually not consistent.

How do we make it consistent?

Wamsley (1974) and Vaughan-Lee (1984):

Certain associativity conditions suffice to ensure that a power-commutator presentation is consistent.

**Consistency Theorem**

**Theorem 34.** *A power-commutator presentation on  $\{a_1, \dots, a_n\}$  is consistent if the following are satisfied:*

$$\begin{aligned} (a_k a_j) a_i &= a_k (a_j a_i), & 1 \leq i < j < k \leq n, i \leq d; \\ (a_j^{p-1} a_j) a_i &= a_j^{p-1} (a_j a_i), & 1 \leq i < j \leq n, i \leq d; \\ (a_j a_i) a_i^{p-1} &= a_j (a_i a_i^{p-1}), & 1 \leq i < j \leq n; \\ (a_i a_i^{p-1}) a_i &= a_i (a_i^{p-1} a_i), & 1 \leq i \leq n. \end{aligned}$$

How do we interpret this theorem? The words on each side of a condition are collected, where the brackets indicate the subwords to be replaced first in the collection.

**The consistency algorithm**

This theorem provides the basis of an algorithm which takes as input a power-commutator presentation for a  $p$ -group and modifies it to produce a consistent one.

Consider the list of words obtained from these conditions: if each pair of words collects to the same normal word, then the presentation is consistent.

Otherwise, the quotient of the two different words obtained from one of these conditions is formed and equated to the identity word.

This procedure gives a new relation which holds in the group.

Since the presentation for  $G/P_k(G)$  was consistent, this relation only involves the new generators introduced.

We deduce that one of  $a_{n+1}, \dots, a_{n+q}$  is redundant.

**Applying the tests**

Consider the inconsistent presentation for  $G$ :

$$\{ a_1, a_2, a_3 : a_1^2 = a_2, a_2^2 = a_3, a_3^2 = 1, \\ [a_2, a_1] = a_3, [a_3, a_1] = 1, [a_3, a_2] = 1 \}.$$

Apply the 4th of the tests to  $a_1^3$ :

$$a_1^3 = (a_1 a_1) a_1 = a_2 a_1 = a_1 a_2 a_3$$

but

$$a_1^3 = a_1(a_1 a_1) = a_1 a_2.$$

Deduce the relation that  $a_3 = 1$  and, therefore, a power-commutator presentation for  $G$  is

$$\{ a_1, a_2 : a_1^2 = a_2, a_2^2 = 1, [a_2, a_1] = 1 \}.$$

If we apply our consistency algorithm, it is now consistent.

### The $p$ -covering group of $D_8$

$$\begin{aligned} \{ a_1, a_2, a_3, a_4, \dots, a_8 : & [a_2, a_1] = a_3, [a_3, a_1] = a_4, [a_3, a_2] = a_5 \\ & a_1^2 = a_6, a_2^2 = a_3 a_7, \\ & a_3^2 = a_8, a_j^2 = 1, 4 \leq j \leq 8 \\ & [a_j, a_i] = 1, 4 \leq i < j \leq 8 \} \end{aligned}$$

$$a_2^3 = a_2(a_2 a_2) = a_2 a_3 a_7$$

$$a_2^3 = (a_2 a_2) a_2 = a_3 a_7 a_2 = a_3 a_2 a_7 = a_2 a_3 a_5 a_7.$$

Hence  $a_5$  is trivial.

$$\begin{aligned} a_2(a_2 a_1) &= a_1 a_3 a_5 a_7 a_8 \\ (a_2^2) a_1 &= a_1 a_3 a_4 a_7 \end{aligned}$$

Hence  $a_4 = a_5 a_8$ . Conclude  $a_3^2 = a_4 a_5$ .

A consistent power-commutator presentation for the 2-covering group of  $D_8$  is

$$\begin{aligned} \{ a_1, a_2, a_3, a_4, a_6, a_7 : & [a_2, a_1] = a_3, \\ & [a_3, a_1] = a_4, \\ & [a_3, a_2] = 1 \\ & a_1^2 = a_6, \\ & a_2^2 = a_3 a_7, \\ & a_3^2 = a_4, \\ & a_j^2 = 1, 4 \leq j \leq 7 \\ & [a_j, a_i] = 1, 4 \leq j \leq 7 \} \end{aligned}$$

Application of this algorithm provides us with a homogeneous system of equations over  $GF(p)$ .

Each equation is obtained by collecting each of the relevant test words in the two ways indicated, equating the resulting normal words, and reducing resulting relation as much as possible.

### Step 3. Enforce defining relations

Know that  $K = G/P_{k+1}(G)$  is a homomorphic image of  $H^*$ .

We have as input an epimorphism  $\theta : G \mapsto H$ , specified by the images of the generators of  $G$ .

Define a map

$$\tau : G \mapsto K : g \mapsto g\theta u_g$$

where  $u_g$  is an unknown element of  $P_k(G)/P_{k+1}(G)$ .

Hence  $u_g$  is central and of order  $p$  in  $K$ .

$\tau$  is a homomorphism and the images of the generators of  $G$  under  $\tau$  satisfy relators of  $G$ .

$$\theta : G \mapsto H \text{ and } \tau : G \mapsto K : g \mapsto g\theta u_g$$

Let  $r$  be a relator of  $G$ .

Evaluate  $r$  in the images of the generators of  $G$  under  $\tau$ .

Collect the result to give normal word in the generators  $a_{n+1}, \dots, a_{n+q}$  of  $H^*$ .

The image  $r\tau$  has form  $r\theta u_r$  where  $u_r$  is a word in the  $u_g$ .

Since  $r$  is a relator of  $G$ , and  $r\theta = 1$ , deduce the relation  $u_r = 1$ .

Hence, the images of the relations are collected to yield a homogeneous system of equations over  $GF(p)$ .

### Step 4. Elimination

Final step eliminates the redundancies which arise among the new generators from consistency and imposition of defining relations.

Suppose that  $t$  new generators are added and that  $r$  independent relations are found between them.

Then a consistent power-commutator presentation for the largest class  $k + 1$  quotient has  $t - r$  more generators than one for the largest class  $k$  quotient.

All relations involve only  $a_{n+1}, \dots, a_{n+t}$ .

Eliminating  $r$  of these generators using the relations amounts to solving a system of  $r$  linear equations in  $t$  unknowns over  $GF(p)$ .

Let  $M = \langle a_{n+1}, \dots, a_{n+q} \rangle$ . Let  $N$  be kernel of natural homomorphism from  $K$  onto  $H$ ; so  $N$  is homomorphic image of  $M$ .

To obtain pcg for  $K$ , compute the kernel of map from  $M$  to  $N$ .

**Theorem 35.** *The result of collecting the set of words in  $\{a_1, \dots, a_n\}$  listed in Consistency Theorem in the power-commutator presentation for  $H^*$  is a set  $S$  contained in  $M$ .*

*The result of evaluating the relators of  $G$  in the images of the generators of  $G$  under  $\theta$  in the power-commutator presentation for  $H^*$  is a set  $T$  contained in  $M$ .*

*Then  $N$  is isomorphic to  $M/\langle S \cup T \rangle$ .*

Since we have a vector space defined over  $GF(p)$ , use Gaussian Elimination to obtain a basis for  $N$ . If all the new generators are eliminated, deduce that  $G/P_k(G)$  is the largest  $p$ -quotient of  $G$ .

### Summary of procedure for one class

1. Add new generators (tails) to the presentation for  $H$  – corresponding to a generating set for  $R/[R, F]R^p$ .

Add relevant relations to make these central and of order  $p$ . So obtain presentation for  $H^*$ .

2. Make the resulting presentation consistent.
3. Impose the relations in  $\mathcal{R}$ .
4. Eliminate the redundancies among the new generators from resulting presentation.

### A sample calculation

Calculate the largest 2-quotient of  $G$  having presentation:

$$\{ b_1, b_2, b_3 : b_1 b_2 = b_3, b_2 b_3 = b_1, b_3 b_1 = b_2 \}.$$

The solution space for  $G/P_1(G)$  has dimension 2;  $b_3$  is eliminated at the first stage, so a consistent power-commutator presentation for  $G/P_1(G)$  is

$$\{ a_1, a_2 : a_1^2 = 1, a_2^2 = 1, [a_2, a_1] = 1 \}$$

Mod  $P_1(G)$ ,  $b_1 = a_1, b_2 = a_2, b_3 = a_1a_2$ .

Now construct  $G/P_2(G)$ .

A consistent power-commutator presentation for 2-covering group of  $C_2 \times C_2$  is:

$$\{ a_1, a_2, a_3, a_4, a_5 \quad : \quad \begin{aligned} [a_2, a_1] &= a_3, \\ a_1^2 &= a_4, \\ a_2^2 &= a_5, \\ a_j^2 &= 1, [a_j, a_i] = 1, 3 \leq i < j \leq 5 \end{aligned} \}$$

Now impose relations where

$$\theta \quad : \quad \begin{aligned} b_1 &\mapsto a_1, \\ b_2 &\mapsto a_2, \\ b_3 &\mapsto a_1a_2 \end{aligned}$$

Collect the relations to get the equations

$$a_1a_2 = a_1a_2, \quad a_1a_3a_5 = a_1, \quad a_2a_3a_4 = a_2$$

Deduce that  $a_3 = a_4 = a_5$ .

Hence consistent power-commutator presentation for class 2 quotient is

$$\{ a_1, a_2, a_3 \quad : \quad a_1^2 = a_3, a_2^2 = a_3, [a_2, a_1] = a_3 \}.$$

$G$  has  $Q_8$  as a quotient.

If we now seek to construct  $G/P_3(G)$ , all new generators introduced are later eliminated.

Therefore, largest 2-quotient of  $G$  is  $Q_8$ .

### The Burnside Problem

One motivation for the development of a  $p$ -quotient algorithm came from study of long-standing Burnside Problem.

Burnside (1902) posed two questions:

- (i) Given a finitely-generated group in which every element has finite order, is the group necessarily finite?
- (ii) Let  $B(d, n)$  denote the largest  $d$ -generator group in which every element has exponent dividing  $n$ : that is,  $g^n = 1$  for all  $g \in G$ . Is  $B(d, n)$  finite? If so, what is its order?

Burnside:  $B(d, 2)$  is finite, abelian, and has order  $2^d$ .

Golod (1964): using work with Šafarevič, answer to (i) is “no”.

Levi & van der Waerden (1933): the order of  $B(d, 3)$  is  $3^{d+\binom{d}{2}+\binom{d}{3}}$ .

Tobin (1954): order of  $B(2, 4)$  is  $2^{12}$ .

Sanov (1940) and M. Hall (1958): all groups of exponent 4 and 6 are finite.

Adian & Novikov (1968): “no” for all odd  $n \geq 4381$ .

Other improvements.

Grün (1940) posed related problem, now known as Restricted Burnside Problem:

**Problem 36.** *Is there a largest finite quotient,  $R(d, n)$ , of  $B(d, n)$  and, if so, what is its order?*

Zel’manov (1991): There is always a largest finite quotient.

Implementations of the  $p$ -quotient algorithm have been used to determine the order and compute power-commutator presentations for various of these groups.

Group	Order	Authors
$B(3, 4)$	$2^{69}$	Bayes, Kautsky & Wamsley (1974)
$R(2, 5)$	$5^{34}$	Havas, Wall & Wamsley (1974)
$B(4, 4)$	$2^{422}$	Alford, Havas & Newman (1975)
$R(3, 5)$	$5^{2282}$	Vaughan-Lee (1988); N & O’B (1996)
$B(5, 4)$	$2^{2728}$	Newman & O’B (1996)
$R(2, 7)$	$7^{20416}$	O’B & Vaughan-Lee (2002)

Survey article on the (Restricted) Burnside problem: Vaughan-Lee & Zel’manov (1999).

### Proving groups infinite

Golod-Šafarevič: if  $H$  is a non-trivial finite  $p$ -group, then  $r(H) > d(H)^2/4$ .

Let  $G$  be a group and  $p$  an odd prime. Let  $P_1(G) = [G, G]G^p$  and  $P_2(G) = [P_1(G), G]G^p$ . Then  $G/P_1(G)$  and  $P_1(G)/P_2(G)$  are elementary abelian, of ranks  $d_p(G)$  and  $e_p(G)$  respectively.

Newman (1990) proved the following.

**Theorem 37.** *Let  $G$  be a group with a finite presentation on  $b$  generators and  $r$  relators. For some odd prime  $p$ , let  $d = d_p(G)$  and  $e = e_p(G)$ . If any of the following conditions hold*

- (i)  $r - b \leq d^2/4 - d$ ;
- (ii)  $r - b < d^2/2 + (-1)^p d/2 - d - e$ ;
- (iii)  $r - b \leq d^2/2 + (-1)^p d/2 - d - e + (e - (-1)^p d/2 - d^2/4)d/2$ ;

*then  $G$  has arbitrarily large finite  $p$ -quotients and, in particular,  $G$  is infinite.*

### The generalised Fibonacci groups

$$G_n(m, k) = \langle x_1, \dots, x_n : x_i x_{i+m} = x_{i+k} \quad (i = 1, \dots, n) \rangle$$

where the subscripts are taken modulo  $n$ .

Fibonacci groups where  $m = 1, k = 2$ : introduced by Conway (1965).

For  $n \geq 10$ , all such groups infinite.

Newman (1990) proved  $G_9(1, 2)$  infinite using previous theorem.

Remaining cases:  $G_9(1, 3)$  and  $G_9(1, 4)$

Cavicchioli, O'B and Spaggiari (2008) study these; also state the  $p = 2$  criterion.

**Nickel (1994): A nilpotent quotient algorithm**

Assume we know pcg for  $H := G/\gamma_k(G)$  on  $\{a_1, \dots, a_n\}$ .

Want one for  $L := G/\gamma_{k+1}(G)$

Analogous covering group,  $H^*$ , of  $H$  is  $F/[F, R]$ .

Modify the power-commutator presentation for  $H$  to obtain a pcg on  $\{a_1, \dots, a_n, a_{n+1}, \dots, a_{n+q}\}$  for  $H^*$ .

Central components: “tails” procedure, developed by Nickel. Sims (1994): consistency theorem.

Let  $M = \langle a_{n+1}, \dots, a_{n+q} \rangle$  and let  $N$  be kernel of natural homomorphism from  $L$  onto  $H$ .  $N$  is a homomorphic image of  $M$ .

Require a basis for the kernel of the map from  $M$  to  $N$ .

In the  $p$ -quotient algorithm: system of linear equations over  $\text{GF}(p)$ .

In nilpotent quotient case: system of linear equations is over  $\mathbb{Z}$ . Again use Gaussian Elimination to obtain a basis.

### **Soluble quotients**

Various algorithms to construct soluble quotients of finitely-presented groups have been proposed.

Wamsley (1977)

Leedham-Green (1984)

Niemeyer (1994): implementation available; further developed by Eick and Niemeyer (2000s).

Plesken (1987): Significant further development of Plesken's algorithm by Brückner 1990s. Implementation available.

Lo (1990s): algorithm to construct infinite polycyclic quotients

### The $p$ -group generation algorithm

Description of the algorithm: O'Brien (1990), Newman (1977).

The  $p$ -group generation algorithm calculates (presentations for) particular extensions, *immediate descendants*, of a finite  $p$ -group.

Let  $G$  be a  $d$ -generator finite  $p$ -group of class  $c$ .

$H$  is a *descendant* of  $G$  if  $H$  has generator number  $d$  and  $H/P_c(H) \cong G$ .

A group is an *immediate descendant* of  $G$  if it is a descendant of  $G$  and has class  $c + 1$ .

*Example 38.*  $D_8 = \text{Pc}\langle a_1, a_2, a_3 \mid [a_2, a_1] = a_3 \rangle$  is immediate descendant of  $C_2 \times C_2$ .  $D_{16}$  is descendant of  $C_2 \times C_2$ .

### Specification of input and output

Algorithm takes as input a  $d$ -generator  $p$ -group,  $G$ , and a description of the automorphism group of  $G$ .

It produces as output a *complete* and *irredundant* list of the immediate descendants of  $G$  together with a description of their automorphism groups.

$G$  is a  $p$ -quotient of  $F/R$  and is described by a power-commutator presentation.

A consistent power-commutator presentation is written down for the  *$p$ -covering group*,  $F/R^*$ , of  $G$ , where  $R^* = [R, F]R^p$ .

**Theorem 39.** *Every immediate descendant of  $G$  is isomorphic to a factor group of  $F/R^*$ .  $\square$*

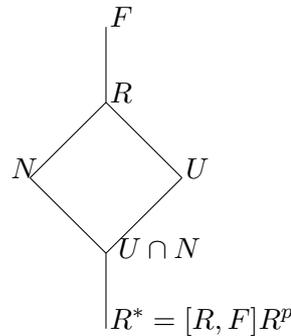
$R/R^*$  is elementary abelian and is the  *$p$ -multiplier* of  $G$ .

The *nucleus* of  $G$  is  $P_c(G^*)$ .

An *allowable subgroup* is a subgroup of  $R/R^*$  which is the kernel of a homomorphism from  $G^*$  onto an immediate descendant of  $G$ .

The allowable subgroups are characterised as follows.

**Lemma 40.** *A subgroup is allowable if and only if it is a proper subgroup of the  $p$ -multiplier of  $G$  which supplements the nucleus.*



*Example 41.* The 2-covering group of  $D_{16}$  has power-commutator presentation

$$\begin{aligned} \text{Pc}\langle a_1, \dots, a_4, a_5, a_6, a_7 \mid & a_1^2 = a_6, a_2^2 = a_3 a_4 a_7, \\ & a_3^2 = a_4 a_5, a_4^2 = a_5, [a_2, a_1] = a_3, \\ & [a_3, a_1] = a_4, [a_4, a_1] = a_5 \rangle. \end{aligned}$$

The 2-multiplicator is  $\langle a_5, a_6, a_7 \rangle$  and the nucleus is  $\langle a_5 \rangle$ .

The subgroups  $\langle a_6, a_7 \rangle$ ,  $\langle a_5 a_6, a_7 \rangle$ ,  $\langle a_6, a_5 a_7 \rangle$  are allowable and the corresponding immediate descendants have order 32.

The subgroup  $\langle a_5 a_6, a_5 a_7 \rangle$  is also allowable, but the resulting quotient is isomorphic to the quotient of  $G^*$  by  $\langle a_6, a_5 a_7 \rangle$ .

On taking factor groups of  $G^*$  by all allowable subgroups a *complete* list of immediate descendants is obtained.

This list usually contains redundancies.

To eliminate these redundancies, an obvious equivalence relation is defined on the allowable subgroups.

**Definition 42.** Two allowable subgroups  $U_1/R^*$  and  $U_2/R^*$  are *equivalent* if and only if their quotients  $F/U_1$  and  $F/U_2$  are isomorphic.

A complete and irredundant set of immediate descendants of  $G$  can be obtained by factoring  $G^*$  by one representative of each equivalence class.

Definition is useful only because the equivalence relation can be given a different characterisation by using the automorphism group of  $G$ .

### Action of automorphisms of $G$

An *extension* of each automorphism,  $\alpha$ , of  $G$  to an automorphism,  $\alpha^*$ , of  $G^*$  is defined.

$\text{Aut}(G)$  induces a linear action on  $R/R^*$ .

For  $\alpha \in \text{Aut}(G)$ , extend it to automorphism  $\alpha^*$  of  $G^*$ .

If  $G$  is generated by  $a_1, a_2, \dots, a_d$  then we choose preimages  $x_1, x_2, \dots, x_d$  in  $G^*$  for  $a_1, a_2, \dots, a_d$ , and preimages  $y_1, y_2, \dots, y_d$  in  $G^*$  for  $a_1 \alpha, a_2 \alpha, \dots, a_d \alpha$ .

Then  $x_1, x_2, \dots, x_d$  generate  $G^*$ .

Define  $\alpha^*$  by setting  $x_i \alpha^* = y_i$  for  $i = 1, 2, \dots, d$ .

**Lemma 43.** *The action of  $\alpha^*$  when restricted to  $R/R^*$  is uniquely determined by  $\alpha$ , and  $\alpha^*$  induces a permutation of the allowable subgroups.*

**Theorem 44.** *The equivalence classes of allowable subgroups are exactly the orbits of the allowable subgroups under the action of these permutations.*

Hence, to solve the isomorphism problem, we determine orbits of supplements to  $N/R^*$  in  $R/R^*$  under the induced action of  $\text{Aut}(G)$ .

Designate one element of each orbit as its representative and factor  $G^*$  by each representative in turn to obtain a complete and irredundant list of immediate descendants of  $G$ .

### An example

We construct the immediate descendants of  $G := C_2 \times C_2$

$$\text{Pc}\langle a_1, a_2 \mid a_1^2 = 1, a_2^2 = 1, [a_2, a_1] = 1 \rangle.$$

Its 2-covering group  $G^*$  is

$$\text{Pc}\langle a_1, \dots, a_5 \mid a_1^2 = a_4, a_2^2 = a_5, [a_2, a_1] = a_3 \rangle.$$

The 2-multiplicator  $\langle a_3, a_4, a_5 \rangle$  is elementary abelian and it coincides with the nucleus.

Hence every proper subgroup of the 2-multiplicator supplements the nucleus and so is allowable.

The automorphism group of  $G$  is isomorphic to  $\text{GL}(2, 2)$ .

Choose as its generators

$$\begin{array}{ll} \alpha_1 : & a_1 \mapsto a_1 a_2, & \alpha_2 : & a_1 \mapsto a_2 \\ & a_2 \mapsto a_2 & & a_2 \mapsto a_1 . \end{array}$$

The extensions of these automorphisms to  $G^*$  are:

$$\begin{array}{ll} \alpha_1^* : & a_3 \mapsto a_3, & \alpha_2^* : & a_3 \mapsto a_3 \\ & a_4 \mapsto a_3 a_4 a_5 & & a_4 \mapsto a_5 \\ & a_5 \mapsto a_5 & & a_5 \mapsto a_4 . \end{array}$$

Construct the immediate descendants of order 8.

The 7 allowable subgroups of rank 2 are

$$\langle a_4, a_5 \rangle, \langle a_4, a_3 a_5 \rangle, \langle a_3 a_4, a_5 \rangle, \langle a_3, a_5 \rangle, \langle a_3, a_4 a_5 \rangle, \langle a_3, a_4 \rangle, \langle a_3 a_4, a_3 a_5 \rangle$$

The orbits of the allowable subgroups induced by  $\alpha_1^*$  and  $\alpha_2^*$  are

$$\{\langle a_4, a_5 \rangle, \langle a_4, a_3 a_5 \rangle, \langle a_3 a_4, a_5 \rangle\}, \{\langle a_3 a_4, a_3 a_5 \rangle\}, \{\langle a_3, a_5 \rangle, \langle a_3, a_4 a_5 \rangle, \langle a_3, a_4 \rangle\}.$$

Choose one rep from each orbit and factor it from  $G^*$  to obtain as immediate descendants:

$$\begin{aligned} & \text{Pc}\langle a_1, a_2, a_3 \mid [a_2, a_1] = a_3 \rangle \\ & \text{Pc}\langle a_1, a_2, a_3 \mid a_1^2 = a_3, a_2^2 = a_3, [a_2, a_1] = a_3 \rangle \\ & \text{Pc}\langle a_1, a_2, a_3 \mid a_1^2 = a_3 \rangle. \end{aligned}$$

These are:  $D_8$ ,  $Q_8$  and  $C_2 \times C_4$ , respectively.

Now construct immediate descendants of  $C_2 \times C_2$  having order 16.

Generators for the seven cyclic allowable subgroups are

$$a_3, a_3^\delta a_4^\gamma a_5, a_3^\zeta a_4,$$

where each of  $\delta, \gamma, \zeta$  is 0 or 1.

The orbits of the allowable subgroups induced by  $\alpha_1^*$  and  $\alpha_2^*$  are

$$\{\langle a_3 \rangle\}, \{\langle a_5 \rangle, \langle a_3 a_4 a_5 \rangle, \langle a_4 \rangle\}, \{\langle a_4 a_5 \rangle, \langle a_3 a_5 \rangle, \langle a_3 a_4 \rangle\}.$$

We choose 1 rep from each orbit to obtain 3 immediate descendants of order 16.

For example, factor  $G^*$  by  $a_3$  to obtain  $C_4 \times C_4$ :

$$\text{Pc}\langle a_1, a_2, a_3, a_4 \mid a_1^2 = a_3, a_2^2 = a_4 \rangle$$

$C_2 \times C_2$  has 1 immediate descendant of order  $2^5$ : factor  $G^*$  by trivial allowable subgroup.

### Practical issues

Central limitation: # of allowable subspaces and consequent size of orbits.

Let's focus on  $p$ -class 2 for a moment.

$G = \mathbb{Z}_p^d$ .  $M := R/R^*$  has rank  $m := \binom{d+1}{2}$  as vector space.

Aim: Construct all immediate descendants of order  $p^{(d+k)}$ .

All subspaces of dimension  $m - k$  are allowable.

# of such subspaces is  $O(p^{(m-k)k})$ , precisely  $\frac{\prod_{i=0}^{k-1} (p^m - p^i)}{\prod_{i=0}^{k-1} (p^k - p^i)}$

*Example 45.* Let  $G = \mathbb{Z}_2^6$ , elementary abelian of order  $2^6$ .  $M$  has dimension 21.

To construct immediate descendants of order  $2^8$ , must construct orbits on 733006703275 19-dimensional subspaces.

**Exploit characteristic structure**

$G = \mathbb{Z}_p^d$  acting on  $V$ ,  $d$ -dimensional space.

$A = \text{Aut}(G) \cong \text{GL}(d, p)$  and acts on  $M$ .

Since  $M$  is a vector space of degree  $m$  over  $\text{GF}(p)$ , it is an  $A$ -module.

In fact  $M = V_1 \oplus V_2$ , where  $V_1$  has dimension  $\binom{d}{2}$  and  $V_2$  has dimension  $d$ .

Action of  $A$  on  $V_1$  is the alternating square representation  $\Lambda^2(V)$  for  $V = \text{GF}(p)^d$ .

Action on  $V_2$  is as  $\text{GL}(V)$ .

- We consider orbits for action of  $A$  on  $V_1$ .
- For each orbit rep  $U$ , compute its stabiliser  $S$  in  $A$ .
- Now compute orbits of  $M/U$  under  $S$ .

More generally given  $G$   $p$ -group,  $A := \text{Aut}(G)$ .  $M$  is a  $A$ -module. Apply MEATAXE to  $M$  to identify submodules. Process chain of submodules.

*Example 46.* Let  $G = \mathbb{Z}_2^6$ , elementary abelian of order  $2^6$ .  $V_1$  has dimension 15.

First step: construct orbits on 178940587 13-dimensional subspaces.

Second step: consider orbits of 10795 2-dimensional spaces in 8-dimensional space.

**A requirement**

We need to know the automorphism group of  $G$ , the input group to the algorithm.

A description of the aut gp of an immediate descendant is also returned by the algorithm.

### The SMALLGROUPS project

Classification: a topic of long-standing interest.

Cayley (1850s): initiated classification of groups.

Hölder (1890s): groups of square-free order, etc.

Most classifications: by hand, case-by-case, prone to error.

Besche, Eick, and O'B (2000): The “millennium project”.

Classification of groups of order up to 2000 [now 2047] and of “small” composition length.

Output available as SMALLGROUPS

Most algorithms part of “grpconst”

### Asymptotics

Let  $\text{gnu}(n)$  be number of groups of order  $n$ .

Pyber (1993)

$$\text{gnu}(n) \leq n^{(2/27+o(1))\mu(n)^2}$$

where  $\mu(n)$  is the largest exponent in the prime-power factorisation of  $n$ .

Higman (1960): lower bound for  $p$ -class 2 groups of order  $p^n$  is  $p^{2n^3/27}$ .

Sims (1965): upper bound for groups of order  $p^n$

$\text{gnu}(p^n)$  is  $p^{2n^3/27+O(n^{8/3})}$ .

Newman and Seeley:  $8/3$  can be reduced to  $5/2$ .

### The problem: “Almost all” groups are $p$ -groups of class 2

Orders  $< 2048$ :

Order	#
$2^{10}$	49 487 365 422
class 2	48 803 495 722
others	423 171 191

Higman (1960): lower bound for  $p$ -class 2 groups of order  $p^n$  is  $p^{2n^3/27}$

Sims (1965):  $\text{gnu}(p^n)$  is  $p^{2n^3/27+O(n^{8/3})}$ .

Higman: Lower bound for # of orbits of subspaces in  $\Lambda^2(V) \oplus V$  under action of  $\text{GL}(V)$ .

### ClassTwo

Eick & O'Brien (1999): *precise* version of this for given  $d$  and  $p$ .

Consequence: can *count* these groups using Cauchy-Frobenius Theorem to count fixed-points for  $GL(d, p)$  conjugacy class reps, so deduce # of orbits.

Record  $\log_{10}$  of the # for  $p = 2, 3, 5$ .

	$p = 2$	$p = 3$	$p = 5$
$p^8$	4	5	7
$p^9$	6	9	13
$p^{10}$	10	15	22
$p^{11}$	15	22	33
$p^{12}$	21	32	—

Groups of order  $p^6$  and  $p^7$  recently completed for odd  $p$ .

Newman, O'B, Vaughan-Lee (2004)

O'B, Vaughan-Lee (2005)

$p^6$ : various earlier classifications including Easterfield (1940), James (1980).

Classifications available in GAP and MAGMA as part of SMALLGROUPS

### Groups of order $p^k$ for $k = 1, 2, \dots, 6$

	$p = 2$	$p = 3$	$p \geq 5$
$p$	1	1	1
$p^2$	2	2	2
$p^3$	5	5	5
$p^4$	14	15	15
$p^5$	51	67	$u$
$p^6$	267	504	$v$

$$u = 2p + 61 + 2 \gcd(p - 1, 3) + \gcd(p - 1, 4)$$

$$v = 3p^2 + 39p + 344 + 24 \gcd(p - 1, 3) + 11 \gcd(p - 1, 4) + 2 \gcd(p - 1, 5)$$

### Order $p^7$

$p = 2$	$p = 3$	$p = 5$
2328	9310	34297

For  $p > 5$  the number of groups of order  $p^7$  is

$$\begin{aligned} & 3p^5 + 12p^4 + 44p^3 + 170p^2 + 707p + 2455 \\ & + (4p^2 + 44p + 291) \gcd(p-1, 3) \\ & + (p^2 + 19p + 135) \gcd(p-1, 4) \\ & + (3p + 31) \gcd(p-1, 5) \\ & + 4 \gcd(p-1, 7) + 5 \gcd(p-1, 8) \\ & + \gcd(p-1, 9) \end{aligned}$$

### Classification of $p$ -groups for arbitrary $p$

Classify groups of order  $p^n$  for  $n = 6, 7$  and  $p > 5$  by classifying corresponding nilpotent Lie rings of order  $p^n$ .

Lazard correspondence: isomorphism between the category of nilpotent Lie rings with order  $p^n$  and the category of finite  $p$ -groups with order  $p^n$  provided  $p \geq n$ .

Use analogue of  $p$ -group generation algorithm to classify the Lie rings.

Use the Baker-Campbell-Hausdorff formula to translate Lie ring presentations into group presentations.

### Higman's 1960 PORC conjecture

**Conjecture 47.** *Fix  $n$ . The number of groups of order  $p^n$  is Polynomial On Residue Classes.*

Higman (1960): the number of groups of order  $p^n$  whose Frattini subgroup is elementary abelian and central is PORC.

Eseev (2008): the number of isomorphism classes of groups of order  $p^n$  whose Frattini subgroup is central, considered as a function of the prime  $p$ , is PORC.

### Automorphism group of a $p$ -group

Eick, Leedham-Green, and O'Brien (2002).

Let  $G$  be a  $d$ -generator finite  $p$ -group.

Description of  $\text{Aut}(G)$  constructed by working down successive terms of the lower exponent- $p$  central series of the group.

Recall  $P_0(G) = G$  and  $P_i(G) = [G, P_{i-1}(G)] \cdot P_{i-1}(G)^p$ . Then

$$G = P_0(G) \geq P_1(G) \geq \dots \geq P_c(G) = \{1\}$$

is the lower exponent- $p$  central series of  $G$  and  $c$  is the  $p$ -class of  $G$ .

$$P_1(G) = \Phi(G).$$

Factors of the  $p$ -central series are elementary abelian  $p$ -groups.

### An outline

We want to compute  $\text{Aut}(G)$ .

Let  $G_i = G/P_i(G)$ .

Proceed by induction over the lower  $p$ -central series.

Inductive step is to compute  $\text{Aut}(G_{i+1})$  from  $\text{Aut}(G_i)$ .

Eventually compute  $\text{Aut}(G_c) = \text{Aut}(G)$ .

Initial step:  $G_1 = G/P_1(G)$  is elementary abelian of order  $p^d$ , so  $\text{Aut}(G_1) = GL(d, p)$ .

### The inductive step

Compute  $\text{Aut}(G_{i+1})$  from  $\text{Aut}(G_i)$ .

Recall:  $H = F/R$ , where  $F$  is free group of rank  $d$ . Define  $R^*$  to be  $[R, F]R^p$ . Then  $F/[R, F]R^p$  is the  $p$ -covering group of  $H$  and  $M := R/R^*$  is the  $p$ -multiplier.

Start by computing the  $p$ -covering group  $G_i^*$  of  $G_i$ .

**Theorem 48.**  $G_i^*$  is a finite  $p$ -group which contains a central, elementary abelian subgroup  $M$  with  $G_i^*/M \cong G_i$  and  $M \leq \Phi(G_i^*)$ . Further  $G_i^*$  is the largest such extension containing a subgroup  $U \leq M$  with  $G_i^*/U \cong G_{i+1}$ .

Each automorphism of  $G_i$  lifts to an automorphism of  $G_i^*$  via natural homomorphism  $G_i^* \rightarrow G_i$  with kernel  $M$ .

The induced automorphisms leave  $M$  invariant.

Let  $S$  be the stabiliser of  $U$  in  $\text{Aut}(G_i)$ .

Then every automorphism in  $S$  induces an automorphism of  $G_i^*/U$  and hence of  $G_{i+1}$ .

Let  $A_{i+1}$  be the subgroup of  $Aut(G_{i+1})$  induced by  $S$ .

$G_{i+1}/P_i(G_{i+1}) \cong G_i$ .

Let  $T_{i+1}$  be the subgroup of  $Aut(G_{i+1})$  consisting of those automorphisms which fix both  $G_i = G_{i+1}/P_i(G_{i+1})$  and  $P_i(G_{i+1})$ . Then  $T_{i+1}$  is a normal, elementary abelian subgroup of  $Aut(G_{i+1})$ .

**Lemma 49.** *Let  $H$  be a  $p$ -group with  $P_{c+1}(H) = 1$  and  $c \geq 2$ . Let  $a_1, \dots, a_d$  and  $x_1, \dots, x_\ell$  be minimal generating sets for  $H$  and  $P_c(H)$ , respectively. Define*

$$\beta_{i,j} : H \rightarrow H : \begin{cases} a_i \mapsto a_i x_j \\ a_k \mapsto a_k \text{ for } k \neq i. \end{cases}$$

*Then  $\{\beta_{i,j} \mid 1 \leq i \leq d \text{ and } 1 \leq j \leq \ell\}$  is a polycyclic generating sequence for the elementary abelian  $p$ -group of automorphisms of  $H$  centralising  $H/P_c(H)$ .*

**Theorem 50.**  $Aut(G_{i+1}) = \langle Inn(G_{i+1}), A_{i+1}, T_{i+1} \rangle$ .

### An example

Consider the group:

$$\langle a_1, \dots, a_4 : [a_2, a_1] = a_3, a_1^5 = a_4 \rangle.$$

The class one quotient,  $H = G/P_1(G)$ , has the power-commutator presentation:

$$\{ a_1, a_2 : a_1^5 = 1, a_2^5 = 1, [a_2, a_1] = 1 \}.$$

1. First write down a presentation for  $H^*$ .

$$\{ a_1, \dots, a_5 : [a_2, a_1] = a_3, a_1^5 = a_4, a_2^5 = a_5 \}.$$

2. The allowable subgroup,  $U$ , which must be factored from  $H^*$  to give presentation for the class 2 5-quotient is  $\langle a_5 \rangle$ .
3. Generating set for the automorphism group of  $H$  is

$$\alpha_1 : \begin{array}{l} a_1 \mapsto a_1^2, \\ a_2 \mapsto a_2 \end{array} \quad \alpha_2 : \begin{array}{l} a_1 \mapsto a_1^4 a_2 \\ a_2 \mapsto a_2^4 \end{array}.$$

The automorphism matrices representing the action of  $\alpha_i^*$  on the 5-

multiplicator of  $H$  are, respectively:

$$\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 4 & 1 \\ 0 & 4 & 0 \end{pmatrix}.$$

4. The stabiliser  $A$  of  $U$  is generated by the extensions of

$$\begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 4 \\ 0 & 2 \end{pmatrix}.$$

5. Four generators of  $T$ :  $\theta_{14}$ ,  $\theta_{24}$  and

$$\begin{array}{l} \theta_{13} : \quad a_1 \mapsto a_1 a_3, \quad \theta_{23} : \quad a_1 \mapsto a_1 \\ \quad \quad a_2 \mapsto a_2, \quad \quad \quad a_2 \mapsto a_2 a_3. \end{array}$$

### Where's the problem?

How difficult is the inductive step?

We can very easily write down a generating set for both  $\text{Inn}(G_{i+1})$  and  $T_{i+1}$ .

Only computation necessary is the computation of stabiliser  $S$ .

We have to compute the stabiliser of  $U$  in  $\text{Aut}(G_i)$ , where  $\text{Aut}(G_i)$  acts as a group of automorphisms on  $M$ .

The  $p$ -multiplicator  $M = R/[R, F]R^p$  is an elementary abelian  $p$ -group,  $M$  is a vector space over  $GF(p)$ .

Then  $U$  corresponds to some subspace of  $M$  and  $\text{Aut}(G_i)$  acts as a matrix group on  $M$ .

### The stabiliser problem

**Problem 51.** *Compute stabiliser of subspace under the action of matrix group.*

Simple approach: Construct the orbit of  $U$  under action of  $A_i$  and use standard orbit-stabiliser algorithm to write down generators for the stabiliser of  $U$ . Use Schreier's Theorem.

Consequence: We need to construct the full orbit of  $U$  under  $\text{Aut}(G_i)$  and the number of generators for the stabiliser depends on the orbit length.

Central problem: Orbit is frequently too large to construct or store – and generating set is too large.

So study more closely techniques to find a generating set of the stabiliser  $S$ .

### Computing the stabiliser

Let  $A$  be the automorphism group of a  $p$ -group  $G$ .

$A$  acts as a matrix group on a vector space  $M$  over  $\text{GF}(p)$ .

Compute the stabiliser in  $A$  of a given subspace  $U$  of  $M$ .

Use various reductions to make this task feasible.

#### 1. Use the internal structure of $M$

Recall  $G^*$  is  $p$ -covering group of  $G$ , and  $M$  is the  $p$ -multiplier, elementary abelian.

Exploit the action on  $A$  on  $M$ . Observe  $M$  is an  $A$ -module, so construct a composition series for  $M$  as  $A$ -module.

Recall  $N := P_{i+1}(G^*)$  is the nucleus of  $P$ .

**Lemma 52.**  *$N$  is a characteristic subgroup of  $G^*$  and  $N \leq M$ . Further,  $U$  is a supplement to  $N$  in  $M$ .*

Vector space context:  $N$  is a subspace of  $M$ , invariant under action of  $A$  and  $N$  supplements  $U$ .

Use this invariant subspace to split the orbit stabiliser computation in two steps.

1. Compute the orbit of  $U \cap N$  as subspace of  $N$ .
2. Compute the orbit of  $U/U \cap N$  as a subspace of  $M/U \cap N$ .

In each case, we can refine each step significantly.

- (i) Use the MEATAXE to compute a composition series of  $N$  as an  $A$ -module. Then use this series to compute the orbit and stabiliser of  $U \cap N$  stepwise.
- (ii)  $U/U \cap N$  is a complement to  $N/U \cap N$  in  $M/U \cap N$  and, further,  $N/U \cap N$  is invariant under action of  $A$ . Now compute composition series of  $M/N$  and  $N/U \cap N$  under action of  $A$ . Then use these two series to break the orbit stabiliser computation up in a number of small steps.

## 2. Stabiliser under unipotent subgroup

$A := \text{Aut}(G)$  has a normal  $p$ -subgroup  $P$ , namely the centraliser in  $A$  of  $V \cong G/P_1(G)$  – those automorphism which induce trivial action on the Frattini quotient.

$$\text{Aut}(G) \triangleright S \triangleright P \triangleright 1$$

where  $S$  is soluble radical.

The action of  $P$  on  $M$  is as a *unipotent* subgroup of  $\text{GL}(M)$ .

Costi, Schwingel (2000, 2009): UNIPOTENTSTABILISER algorithm to construct a canonical representative  $\bar{U}$  of the  $P$ -orbit of a subspace  $U$  of  $M$ .

Simultaneously, it constructs a generating set for the stabiliser in  $P$  of  $\bar{U}$  and  $t \in N$  such that  $U^t = \bar{U}$ .

Use this algorithm to construct the stabiliser of  $U$  in  $P$  *without* explicitly constructing its orbit.

## 3. Exploit the structure of $A$

$$\text{Aut}(G) \triangleright S \triangleright P \triangleright 1$$

where  $S$  is soluble radical.

Since  $S$  is soluble, it has an normal series whose factors are cyclic of prime order.

So we obtain a subnormal series of  $S$  of the form

$$S = C_1 \triangleright C_2 \triangleright \dots \triangleright C_n = P$$

We compute the orbit of  $U$  under  $S$  by stepping up this series and computing in sequence orbits and stabilisers under subgroups in the series.

Advantage? We can use the following well-known result.

**Lemma 53.** *Let  $H$  be a group which acts on a set  $\Omega$  and let  $N \triangleleft H$ . Let  $\omega \in \Omega$ . Then the orbit  $\omega^N$  is a block for  $H$  on  $\Omega$ . The point stabiliser  $H(\omega)$  is a supplement to  $N$  in the block stabiliser  $G(\omega^N)$ .*

Consequence of easy observation: Let  $h \in H(\omega^N)$ . Then  $\omega^h = \omega^n$  for some  $n \in N$ . Hence  $hn^{-1} \in H(\omega)$ .

How do we apply this? Assume we have computed the orbit  $U^{C_i}$  and the stabiliser  $C_i(U)$  for some  $i$ . We compute the block stabiliser  $C_{i-1}(U^{C_i})$ . Now extend each generator of this block stabiliser to an element in the point stabiliser  $C_{i-1}(U)$ .

Advantage? Reduce the number of generators for stabiliser of  $U$  substantially. Index  $C_{i-1} : C_i$  is a prime; obtain from  $C_i$  to  $C_{i-1}$  at most one new generator for the stabiliser.

#### 4. Preprocessing

We attempt to compute  $Aut(G)$  by induction on the lower  $p$ -central series.

Initial step: we start with  $Aut(G_1) \cong GL(d, p)$ .

We could start with  $L \leq Aut(G_1)$  such that the subgroup  $K$  of  $Aut(G_1)$  induced by  $Aut(G)$  is contained in  $L$ ?

If we find such  $L \leq GL(d, p)$  such that  $L : K$  is small, then we make the computation easier.

Can we bound the image in  $Aut(G_1)$  of  $Aut(G)$ ?

#### Use characteristic subgroups of $G$

Identify characteristic subgroups of  $G$ . Include: centre, derived group, agemo, omega, 2-step centralisers.

Restrict this collection to  $G_1 = G/\Phi(G)$ .

Hence obtain a list of subspaces of  $V$  which are invariant.

Schwingel (2000): describes algorithm to construct the subgroup of  $GL(V)$  that stabilises a lattice of subspaces of  $V$ .

Obtained as the group of units of algebra stabilising the lattice.

In summary: construct a system of equations which must be satisfied by the stabiliser, solve this system to obtain subgroup.

Brooksbank & O'B (2007): effective algorithm to construct the group of units of a matrix algebra defined over a finite field.

#### Outcome

A practical algorithm which works well for moderate Frattini quotient rank  $d$ .

If class of  $p$ -group  $G$  is at least 3, then it usually has "lots" of characteristic subgroups – frequently reduce to small subgroup of  $GL(d, p)$  as initial group.

Hard case:  $G$  has class 2.

Task: compute stabiliser of  $U \leq \Lambda^2(V)$  under action of  $GL(d, p)$ .

### Isomorphism testing

The isomorphism problem of determining whether two given presentations present the same group was introduced by Tietze (1908) and formulated by Dehn (1911).

Adian (1957) and Rabin (1958): show the isomorphism problem for finitely presented groups is unsolvable by exhibiting its unsolvability for a particular class of examples.

Segal (1990): there is an algorithm to decide the isomorphism of two polycyclic-by-finite groups given by finite presentations.

Holt & Rees (1992): seek to establish isomorphism by running a Knuth-Bendix procedure on the supplied group presentations, in an attempt to generate a normal form algorithm for words in the generators.

Concurrently, they attempt to establish non-isomorphism of the two groups by finding the number of finite quotients each has of a particular order.

### Standard presentation for $p$ -group

O'Brien (1994): an algorithm which answers the problem for finite  $p$ -groups.

Defines a *standard presentation* for each  $p$ -group and provides an algorithm for its construction.

Given two  $p$ -groups presented by arbitrary finite presentations, determination of their isomorphism is essentially the same problem as the construction of their canonical presentations and the easy *comparison* of these presentations.

### The basic approach

The  $p$ -group generation algorithm: constructs a particular isomorphic copy of a given  $p$ -group,  $G$ .

Assume  $G$  is  $d$ -generator and has exponent- $p$  class  $c$ .

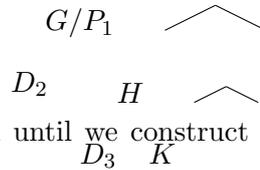
Then  $G/P_1(G)$  is the elementary abelian group of order  $p^d$ .

It follows that  $G$  is a descendant of this elementary abelian group and  $G/P_{i+1}(G)$  is an immediate descendant of  $G/P_i(G)$  for  $i < c$ .

Assume we construct the immediate descendants of  $G/P_1(G)$ . One of these, say  $H$ , is *isomorphic* to the class 2 quotient,  $G/P_2(G)$ , of  $G$ .

$$G/P_1(G) \quad \frown$$

Now calculate the immediate descendants of  $H \cong G/P_2(G)$ . Among these is a group  $K \cong G/P_3(G)$ .



We iterate this construction until we construct a group isomorphic to (the class  $c$  quotient of)  $G$ .

So construct  $Q \cong G$  by iterating algorithm to calculate immediate descendants, starting with the elementary abelian group of rank  $d$ .

We designate the power-commutator presentation of  $Q$  obtained using the  $p$ -group generation algorithm in this way as the *standard presentation* for  $G$ .

### In more detail . . .

Recall:  $G = F/R$ , and  $G^* = F/[R, F]R^p$ .

Induced action of  $Aut(G)$  on  $R/R^*$  acts on allowable subgroups.

Two allowable subgroups  $U_1$  and  $U_2$  are in the same orbit under induced action of  $Aut(G)$  iff the factor groups  $G^*/U_1$  and  $G^*/U_2$  are isomorphic.

The choice of orbit representative determines the presentation obtained.

Two elements from the same orbit determine different power-commutator presentations for isomorphic groups.

How do we choose the orbit representative?

We associate with each allowable subgroup a *label* – a unique positive integer which runs from one to the number of allowable subgroups.

The element with the *smallest* label is chosen as the orbit representative.

### An example

Let  $Q$  be the class 3 3-quotient of

$$G = \langle x, y : (xyx)^3 \rangle$$

$Q$  has order  $3^7$ .

Task: Compute a standard presentation for  $Q$ .

Call the supplied set of defining relations  $\mathcal{S}_1$ .

**Initial step:** The class one 3-quotient,  $H = G/P_1(G)$ , has power-commutator presentation:

$$\{ a_1, a_2 : a_1^3 = 1, a_2^3 = 1, [a_2, a_1] = 1 \}.$$

It is standard.

1. We use the  $p$ -quotient algorithm to write down a presentation for  $H^*$  the 3-covering group of  $H$ :

$$\{ a_1, \dots, a_5 : [a_2, a_1] = a_3, a_1^3 = a_4, a_2^3 = a_5 \}.$$

The nucleus is  $\langle a_3, a_4, a_5 \rangle$ .

2. We use  $\mathcal{S}_1$  as input to the  $p$ -quotient algorithm to write down a presentation for the class two 3-quotient of  $G$ :

$$\{ a_1, \dots, a_4 : [a_2, a_1] = a_3, a_1^3 = a_4, a_2^3 = a_4 \}.$$

3. The allowable subgroup,  $U/R^*$ , factored from  $H^*$  to give this presentation for the class 2 3-quotient is  $\langle a_4^2 a_5 \rangle$ .

4. A generating set for the automorphism group of  $H$  is

$$\begin{array}{l} \alpha_1 : a_1 \mapsto a_1 a_2^2, \quad \alpha_2 : a_1 \mapsto a_1, \quad \alpha_3 : a_1 \mapsto a_1^2 \\ a_2 \mapsto a_1^2 a_2^2 \quad \quad \quad a_2 \mapsto a_1^2 a_2 \quad \quad \quad a_2 \mapsto a_2 \end{array}$$

The automorphism matrices representing the action of  $\alpha_i^*$  on the 3-multiplicator of  $H$  are, respectively:

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 2 \\ 0 & 2 & 2 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 2 & 1 \end{pmatrix}, \quad \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

5. The orbit containing  $U/R^*$  is

$$\langle a_5 \rangle, \langle a_4 a_5 \rangle, \langle a_4^2 a_5 \rangle, \langle a_4 \rangle.$$

The orbit representative,  $\bar{U}/R^*$ , is  $\langle a_5 \rangle$ . We factor  $H^*$  by  $\langle a_5 \rangle$  to obtain the standard presentation  $S$  for the class 2 3-quotient:

$$\{ a_1, \dots, a_4 : [a_2, a_1] = a_3, a_1^3 = a_4 \}.$$

6. A standard automorphism whose extension maps  $U/R^*$  to  $\bar{U}/R^*$  is the following:

$$\begin{array}{l} \delta : a_1 \mapsto a_1 a_2 a_3 a_4 \\ a_2 \mapsto a_1 a_2^2. \end{array}$$

7. We modify the relations of  $\mathcal{S}_1$  by applying the standard automorphism to each. Hence  $\mathcal{S}_2$  is

$$\{(xy[y, x]x^3xy^2xy[y, x]x^3)^3\}.$$

Now  $\langle x, y | \mathcal{S}_2 \rangle$  and  $S$  are input to the next iteration to construct the standard presentation for  $Q$ , the class 3 3-quotient of  $G$ .

**Practical issues**

Central limitation: construct *complete orbit* of space to identify the leading term, hence limited by size of orbit.

As in other cases: exploit characteristic structure of  $p$ -multiplier.

### **Current implementations**

ANU  $p$ -Quotient Program: 22 000 lines of C code; implements  $p$ -quotient algorithm,  $p$ -group generation algorithm, isomorphism testing, aut gp.

Program is available

- as a share package with GAP;
- as part of MAGMA;
- as part of Quotpic.

A discussion of implementation aspects of the  $p$ -quotient algorithm in the GAP language: Celler, Newman, Nickel & Niemeyer (1993); also NNN (1997).

Implementation is also in GAP language.

Some of the algorithms also implemented in MAGMA language.

### Computing conjugacy classes in a finite $p$ -group

Felsch & Neubüser (1980)

Let  $G$  be a finite  $p$ -group, and let  $N = \langle n \rangle$  be a minimal normal subgroup of  $G$ .

Assume we know conjugacy classes in  $G/N$  and want to determine those in  $G$ .

Let  $x$  be preimage in  $G$  of a class rep of  $G/N$ . Let  $C$  be preimage in  $G$  of the corresponding centraliser.

1. If  $x$  is central in  $C$ , then  $[x \cdot n^i : i \in [0, \dots, p-1]]$  are conjugacy class reps in  $G$ , and each class rep has centraliser  $C$ .
2. If  $x$  is not central in  $C$ , then  $x$  is a class rep in  $G$  and  $xN$  is a class in  $G$ .

So each class of  $G/N$  splits into  $p$  classes in  $G$  of the same size, or a class in  $G$  is larger by a factor of  $p$ .

### Special PC-presentations

Algorithms to modify PC-presentation to construct special PC-presentations (Cannon, Eick & Leedham-Green, 2003).

Maximal subgroups, centres, Sylow systems, Carter subgroups: can now be read off directly from the special presentation.

### Other algorithms for polycyclic groups

- Automorphism groups of finite soluble groups: Michael Smith (1994). Available as GAP package.
- Isomorphism algorithms for arbitrary finite groups: Cannon & Holt (1998); these work well for finite soluble groups.
- Conjugacy classes for soluble groups: Felsch & Neubüser (1980); Celler, Neubüser and Wright (1988).
- Normalisers, intersections: Glasby & Slattery (1990).
- Characters: Slattery (1986), Conlon (1990).
- Special series: e.g. Jennings series.

- First and second (co)homology groups: Holt, Eick.

Algorithms for (infinite) polycyclic groups: Eick. Intersections, normalisers, stabilisers etc.

## References

Lecture notes available as [www.math.auckland.ac.nz/~obrien/GAC-lectures.pdf](http://www.math.auckland.ac.nz/~obrien/GAC-lectures.pdf)

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