

# Separate and joint continuity of homomorphisms defined on topological groups

JILING CAO AND WARREN B. MOORS

**Abstract.** In this paper we prove the following theorem. “Let  $H$  be a strongly Baire topological group,  $X$  be a topological space and  $(G, \cdot, \tau)$  be a topological group. If  $f : H \times X \rightarrow G$  is a separately continuous mapping with the property that for each  $x \in X$ , the mapping  $h \mapsto f(h, x)$  is a group homomorphism and  $D$  is a dense subset of  $X$  then for each  $q_D$ -point  $x_0 \in X$  the mapping  $f$  is jointly continuous at each point of  $H \times \{x_0\}$ .” We also present some applications of this result.

**AMS (2002) subject classification:** Primary 54C05, 22A10; Secondary 54E52, 39B99.

**Keywords:** Separate continuity; joint continuity; homomorphism; group;  $R$ -module.

---

## 1 Introduction

In this short note we prove a theorem significantly more general than the following. “Let  $H$  and  $G$  be topological groups and let  $X$  be a topological space. If  $H$  is Čech-complete (*i.e.*, a  $G_\delta$  subset of its Stone-Čech compactification),  $X$  is a  $q$ -space and  $f : H \times X \rightarrow G$  is a separately continuous mapping that possesses the property that for each  $x \in X$ , the mapping  $h \mapsto f(h, x)$  is a group homomorphism, then  $f$  is jointly continuous on  $H \times X$ .”

We begin with some definitions. If  $X, Y$  and  $Z$  are topological spaces and  $f : X \times Y \rightarrow Z$  is a function then we say that  $f$  is *quasi-continuous with respect to  $Y$  at  $(x, y)$*  if for each neighbourhood  $W$  of  $f(x, y)$  and each product of open sets  $U \times V \subseteq X \times Y$  containing  $(x, y)$  there exists a non-empty open subset  $U' \subseteq U$  and a neighbourhood  $V'$  of  $y$  such that  $f(U' \times V') \subseteq W$  and we say that  $f$  is *separately continuous on  $X \times Y$*  if for each  $x_0 \in X$  and  $y_0 \in Y$  the functions  $y \mapsto f(x_0, y)$  and  $x \mapsto f(x, y_0)$  are both continuous on  $Y$  and  $X$  respectively.

Our contribution to this problem is based upon the following game. Let  $(X, \tau)$  be a topological space and let  $D$  be a dense subset of  $X$ . On  $X$  we consider the  $\mathcal{G}_S(D)$ -game played between two players  $\alpha$  and  $\beta$ . Player  $\beta$  goes first (always!) and chooses a non-empty open subset  $B_1 \subseteq X$ . Player  $\alpha$  must then respond by choosing a non-empty open subset  $A_1 \subseteq B_1$ . Following this, player  $\beta$  must select another non-empty open subset  $B_2 \subseteq A_1 \subseteq B_1$  and in turn player  $\alpha$  must again respond by selecting a non-empty open subset  $A_2 \subseteq B_2 \subseteq A_1 \subseteq B_1$ . Continuing this procedure indefinitely the players  $\alpha$  and  $\beta$  produce a sequence  $((A_n, B_n) : n \in \mathbb{N})$  of pairs of open sets called a *play* of the  $\mathcal{G}_S(D)$ -game. We shall declare that  $\alpha$  *wins* a play  $((A_n, B_n) : n \in \mathbb{N})$  of the  $\mathcal{G}_S(D)$ -game if;  $\bigcap_{n \in \mathbb{N}} A_n$  is non-empty and each sequence  $(a_n : n \in \mathbb{N})$  with  $a_n \in A_n \cap D$  has a cluster-point in  $X$ . Otherwise the player  $\beta$  is said to have won this play. By a *strategy  $t$*  for the player  $\beta$  we mean a ‘rule’ that specifies each move of the player  $\beta$  in every possible situation. More precisely, a strategy  $t := (t_n : n \in \mathbb{N})$  for  $\beta$  is a sequence of  $\tau$ -valued functions such that  $t_{n+1}(A_1, \dots, A_n) \subseteq A_n$  for each  $n \in \mathbb{N}$ . The domain of each function  $t_n$  is precisely the set of all finite sequences  $(A_1, A_2, \dots, A_{n-1})$  of length  $n - 1$  in  $\tau$  with  $A_j \subseteq t_j(A_1, \dots, A_{j-1})$  for all  $1 \leq j \leq n - 1$ . (Note: the sequence of length 0 will be denoted by  $\emptyset$ .) Such a finite sequence  $(A_1, A_2, \dots, A_{n-1})$  or infinite sequence  $(A_n : n \in \mathbb{N})$  is called a  *$t$ -sequence*. A strategy  $t := (t_n : n \in \mathbb{N})$  for the player  $\beta$  is called a *winning strategy* if each infinite  $t$ -sequence is won by  $\beta$ . We will call a topological space  $(X, \tau)$  a *strongly Baire* or

(strongly  $\beta$ -unfavourable) space if it is regular and there exists a dense subset  $D$  of  $X$  such that the player  $\beta$  does **not** have a winning strategy in the  $\mathcal{G}_S(D)$ -game played on  $X$ . It follows from Theorem 1 in [9] that each strongly Baire space is in fact a Baire space and it is easy to see that each strongly Baire space has at least one  $q_D$ -point. Indeed, if  $t := (t_n : n \in \mathbb{N})$  is any strategy for  $\beta$  then there is a  $t$ -sequence  $(A_n : n \in \mathbb{N})$  where  $\alpha$  wins. In this case we have that each point of  $\bigcap_{n \in \mathbb{N}} A_n$  is a  $q_D$ -point. Recall that a point  $x \in X$  is called a  $q_D$ -point (with respect to some dense subset  $D$  of  $X$ ) if there exists a sequence of neighbourhoods  $(U_n : n \in \mathbb{N})$  of  $x$  such that every sequence  $(x_n : n \in \mathbb{N})$  with  $x_n \in U_n \cap D$  has a cluster-point in  $X$ . A  $q_X$ -point is usually just called a  $q$ -point. For more information on strongly Baire spaces see [5, Section 4].

## 2 Main Result

We shall use the following key results.

**Lemma 1** [5, Lemma 1] *Let  $H$  be a strongly Baire space,  $X$  a topological space and  $G$  a regular space. If  $f : H \times X \rightarrow G$  is a separately continuous mapping and  $D$  is a dense subset of  $X$  then for each  $q_D$ -point  $x_0 \in X$  the mapping  $f$  is quasi-continuous with respect to  $X$  at each point of  $H \times \{x_0\}$ .*

**Lemma 2** [6, Lemma 3.2] *Let  $(G, \cdot, \tau)$  be a topological group. Then the topology on  $G$  is determined by the set of all continuous left-invariant pseudo-metrics  $d$  on  $G$  for which the mapping  $(G, \tau) \times (G, d) \rightarrow (G, d)$  defined by,  $(g, h) \mapsto g \cdot h$  is continuous.*

Recall that a pseudo-metric  $d$  defined on a topological group  $(G, \cdot, \tau)$  is called *left-invariant* if for each  $g, h$  and  $k$  in  $G$ ,  $d(kg, kh) = d(g, h)$  and it is called *continuous* if the topology generated by  $d$  is coarser than  $\tau$ .

One immediate consequence of this Lemma is the Banach-Steinhaus [8, Theorem 2].

**Proposition 1** (Banach-Steinhaus Theorem) *If  $f : H \rightarrow G$  is a Baire-1 (i.e., the pointwise limit of a sequence of continuous functions) group homomorphism acting from a Baire topological group  $H$  into a topological group  $G$  then  $f$  is continuous.*

**Proof:** Let  $d$  be any continuous left-invariant pseudo-metric on  $G$ . In light of Lemma 2 it is sufficient to show that  $f : H \rightarrow (G, d)$  is continuous. By Osgood's Theorem [4, p. 86] (i.e., Baire-1 functions defined on Baire spaces and mapping into pseudo-metric spaces are continuous on dense  $G_\delta$  subsets of their domains) there exists a residual set  $H_0$  in  $H$  on which  $f$  is  $d$ -continuous. Let  $h$  be any element of  $H$  and let  $h_0 \in H_0$ . Then for any net  $\{h_\alpha : \alpha \in D\}$  in  $H$  converging to  $h$  we have that  $f(h_\alpha) = f(hh_0^{-1})f(h_0h^{-1}h_\alpha)$ , with  $f(h_0h^{-1}h_\alpha) \rightarrow f(h_0)$  in  $(G, d)$  since  $h_0h^{-1}h_\alpha \rightarrow h_0$  in  $H$ . Therefore,  $f(h_\alpha) \rightarrow f(hh_0^{-1})f(h_0) = f(h)$  in  $(G, d)$ ; which completes the proof.  $\square$

**Theorem 1** *Let  $H$  be a strongly Baire topological group,  $X$  be a topological space and  $(G, \cdot, \tau)$  be a topological group. If  $f : H \times X \rightarrow G$  is a separately continuous mapping with the property that for each  $x \in X$ , the mapping  $h \mapsto f(h, x)$  is a group homomorphism and  $D$  is a dense subset of  $X$  then for each  $q_D$ -point  $x_0 \in X$  the mapping  $f$  is jointly continuous at each point of  $H \times \{x_0\}$ .*

**Proof:** Suppose  $x_0 \in X$  is a  $q_D$ -point. As with the Banach-Steinhaus Theorem we will appeal to Lemma 2 to deduce that it will be sufficient to prove that for any continuous left-invariant pseudo-metric  $d$  on  $G$  for which that mapping  $(G, \tau) \times (G, d) \rightarrow (G, d)$ , defined by,  $(g, h) \mapsto g \cdot h$  is

continuous, the mapping  $f : H \times X \rightarrow (G, d)$  is continuous at each point of  $H \times \{x_0\}$ . Fix  $\varepsilon > 0$  and consider the open set:

$$O_\varepsilon := \bigcup \{ \text{open sets } U \subseteq H : \text{there is a neighbourhood } V \text{ of } x_0 \text{ with } d - \text{diam}[f(U \times V)] < \varepsilon \}.$$

We shall show that  $O_\varepsilon$  is dense in  $H$ . To this end, let  $U_0$  be a non-empty open subset of  $H$  and let  $h_0 \in U_0$ . Since, by Lemma 1,  $f$  is quasi-continuous with respect to  $X$  there exists a non-empty open subset  $U$  of  $U_0$  and a neighbourhood  $V$  of  $x_0$  such that  $f(U \times V) \subseteq B_d(f(h_0, x_0); \varepsilon/3)$ . Therefore,  $d - \text{diam}[f(U \times V)] < \varepsilon$  and so  $\emptyset \neq U \subseteq O_\varepsilon \cap U_0$ ; which shows that  $O_\varepsilon$  is dense in  $H$ . Hence,  $f$  is  $d$ -continuous at each point of  $(\bigcap_{n \in \mathbb{N}} O_{1/n}) \times \{x_0\}$ ; which is non-empty. We now show that  $f$  is in fact  $d$ -continuous at each point of  $H \times \{x_0\}$ . To this end, let  $h_0$  be any element of  $\bigcap_{n \in \mathbb{N}} O_{1/n}$  and let  $h$  be any element of  $H$  and suppose that  $\{(h_\alpha, x_\alpha) : \alpha \in D\}$  is a net in  $H \times X$  converging to  $(h, x_0)$ . Then by using the fact that,

$$f(h_\alpha, x_\alpha) = f(hh_0^{-1}, x_\alpha)f(h_0h^{-1}h_\alpha, x_\alpha)$$

and  $h_0h^{-1}h_\alpha \rightarrow h_0$  we obtain that  $f(h_\alpha, x_\alpha) \rightarrow f(hh_0^{-1}, x_0)f(h_0, x_0) = f(h, x_0)$ . [Note: we also used the fact that  $f(hh_0^{-1}, x_\alpha) \rightarrow f(hh_0^{-1}, x_0)$  in  $(G, \tau)$ .] This proves that  $f$  is  $d$ -continuous at each point of  $H \times \{x_0\}$ ; which in turn implies that  $f$  is continuous at each point of  $H \times \{x_0\}$ .  $\square$

**Remark:** If  $H$  is Čech-complete then we may relax the hypothesis that “for each  $x \in X$ ,  $h \mapsto f(h, x)$  is continuous” to “for each  $x \in X$ ,  $h \mapsto f(h, x)$  is Souslin measurable” [7].

### 3 Applications

In this section we present a few sample applications of Theorem 1.

Let  $X$  and  $Y$  be arbitrary sets and let  $A \subseteq X$  and  $B \subseteq Y$ . We shall write  $F(A; B)$  for the set of all functions from  $X$  into  $Y$  that map  $A$  into  $B$ , that is,

$$F(A; B) := \{f \in Y^X : f(A) \subseteq B\}.$$

If  $X$  and  $Y$  are topological spaces then the *compact-open (pointwise)* topology on  $Y^X$  is the topology generated by the sets

$$\{F(A; B) : A \in \mathcal{A} \text{ and } B \in \mathcal{G}\}$$

where  $\mathcal{A}$  denotes the class of compact subsets (singleton subsets) of  $X$  and  $\mathcal{G}$  denotes the class of open subsets of  $Y$ .

For a topological group  $G$  we shall denote by  $\text{End}_p(G)$  the space of all continuous endomorphisms on  $G$  endowed with the topology of pointwise convergence on  $G$ .

**Corollary 1** *Suppose that  $G$  is a strongly Baire topological group. If  $\Sigma$  is a  $q_D$ -subspace of  $\text{End}_p(G)$  for some dense subset  $D$  of  $\Sigma$  (i.e., each point in  $\Sigma$  is a  $q_D$ -point) then the mapping  $\pi : \text{End}_p(G) \times \Sigma \rightarrow \text{End}_p(G)$ , defined by  $\pi(m, m') := m' \circ m$  is continuous. In particular,  $\pi$  is continuous on  $\Sigma$ .*

**Proof:** Consider the mapping  $f : G \times \Sigma \rightarrow G$ , defined by  $f(g, m) := m(g)$ . Then  $f$  satisfies the hypotheses of Theorem 1 and so is jointly continuous on  $G \times \Sigma$ . Now, if  $\{(m_\alpha, m'_\alpha) : \alpha \in D\}$  is a net in  $\text{End}_p(G) \times \Sigma$  converging to  $(m, m')$  and  $g \in G$  then,

$$\pi(m_\alpha, m'_\alpha)(g) = m'_\alpha(m_\alpha(g)) \rightarrow m'(m(g)) = \pi(m, m')(g).$$

Hence  $\pi$  is continuous on  $\text{End}_p(G) \times \Sigma$ .  $\square$

Let  $G$  and  $H$  be topological groups. We shall denote by  $\text{Hom}_p(H; G)$  the space of all continuous homomorphisms from  $H$  into  $G$  endowed with the topology of pointwise convergence on  $H$ .

**Corollary 2** *Let  $G$  and  $H$  be topological groups and let  $\Sigma$  be a subset of  $\text{Hom}_p(H; G)$ . If  $H$  is a strongly Baire space and  $\Sigma$  is a  $q_D$ -subspace of  $\text{Hom}_p(H; G)$  for some dense subset  $D$  of  $\Sigma$  then on  $\Sigma$  the pointwise and compact-open topologies coincide.*

**Proof:** Since the compact-open topology is always finer than the pointwise topology it will be sufficient to show that for each compact set  $K \subseteq H$  and open set  $W \subseteq G$ ,  $F(K; W)$  is open in the pointwise topology. To this end, let  $K$  be a non-empty compact subset of  $H$ ,  $W$  be a non-empty open subset of  $G$  and  $m_0 \in F(K; W)$  (i.e.,  $m_0(K) \subseteq W$ ). From Theorem 1 it follows that the mapping  $f : H \times \Sigma \rightarrow G$  defined by,  $f(h, m) := m(h)$  is jointly continuous on  $H \times \Sigma$  and so from a simple compactness argument it follows that there exists an open set  $U$  in  $H$  and an open neighbourhood  $V$  of  $m_0$  in  $\Sigma$  such that  $K \subseteq U$  and  $f(U \times V) \subseteq W$ . In particular this means that  $m_0 \in V \subseteq F(K; W)$  and so  $F(K; W)$  is open in the pointwise topology.  $\square$

If  $(M, +)$  is an Abelian group endowed with a topology  $\tau_1$  and  $(R, +, \cdot)$  is a ring endowed with a topology  $\tau_2$  then we say  $M$  is a *semitopological  $R$ -module* (over  $R$ ) if it is an  $R$ -module (over  $R$ ) and both the mappings  $(x, y) \mapsto x + y$  and  $(r, x) \mapsto r \cdot x$  are separately continuous on  $M \times M$  and  $R \times M$  respectively.

**Corollary 3** *Let  $M$  be a semitopological  $R$ -module over a ring  $R$ . If  $R$  is a  $q_D$ -space for some dense subset  $D$  of  $R$  and  $M$  is a strongly Baire space then  $M$  is a topological  $R$ -module, i.e.,  $(M, +)$  is a topological group and  $(r, x) \mapsto r \cdot x$  is jointly continuous.*

**Proof:** By Theorem 2 in [5], which states that every group endowed with a strongly Baire topology for which multiplication is separately continuous is in fact a topological group, we may deduce that  $(M, +)$  is a topological group. The result then follows for Theorem 1 since for each fixed  $r \in R$  the mapping  $x \mapsto r \cdot x$  is an endomorphism of  $M$ .  $\square$

**Remark:** If strongly Baire is replaced by Čech-complete (in  $M$ ) and  $q_D$ -space is replaced by Čech-completeness (in  $R$ ) then we may relax the hypothesis that  $(r, x) \mapsto r \cdot x$  is separately continuous to  $(r, x) \mapsto r \cdot x$  being separately Souslin measurable [7].

We say that a mapping  $f : X \rightarrow Y$  acting between Banach spaces  $X$  and  $Y$  is *almost  $\mathcal{C}^1$*  on  $X$  if for each  $y \in X$  the mapping  $x \mapsto f'(x; y)$  defined by,  $f'(x; y) := \text{weak-}\lim_{t \rightarrow 0} [f(x + ty) - f(x)]/t$  is norm-to-norm continuous on  $X$ .

**Corollary 4** *Let  $f : X \rightarrow Y$  be a continuous mapping acting between Banach spaces  $X$  and  $Y$ . If  $f$  is almost  $\mathcal{C}^1$  on  $X$  then the mapping  $(x, y) \mapsto f'(x; y)$  is jointly norm continuous on  $X \times X$ .*

**Proof:** Fix  $x_0 \in X$ . We shall show first that the mapping  $y \mapsto f'(x_0; y)$  is linear. To do this it is sufficient to show that for each  $y^* \in Y^*$  the mapping  $y \mapsto y^*(f'(x_0; y))$  is linear on  $X$ . Fix  $y^* \in Y^*$  and let  $g : X \rightarrow \mathbb{R}$  be defined by,  $g(x) := (y^* \circ f)(x)$ , then  $g$  is continuous and almost  $\mathcal{C}^1$  with  $g'(x; y) = y^*(f'(x; y))$  for each  $y \in X$ . It now follows, as in the finite dimensional case, (see [1], p.261) that the mapping  $y \mapsto g'(x_0; y)$  is linear on  $X$  [Note:  $g'(x_0, y)$  is linear on  $X$  if it is linear on every 2 dimensional subspace of  $X$ ]. Next, let  $\{t_n : n \in \mathbb{N}\}$  be any sequence of positive numbers converging to 0 and define  $f_n : X \rightarrow Y$  by,  $f_n(y) := [f(x_0 + t_n y) - f(x_0)]/t_n$ . Then each  $f_n$  is continuous and  $\text{weak-}\lim_{n \rightarrow \infty} f_n(y) = f'(x_0; y)$  for each  $y \in X$ . Therefore by the Banach-Steinhaus Theorem  $y \mapsto f'(x_0; y)$  is norm-to-weak continuous. Since  $y \mapsto f'(x_0; y)$  is linear it follows from the uniformly boundedness theorem that  $y \mapsto f'(x_0; y)$  is norm-to-norm continuous. The result then follows from Theorem 1.  $\square$

**Remark:** We say that a mapping  $f : X \rightarrow Y$  acting between Banach spaces  $X$  and  $Y$  is *weakly  $\mathcal{C}^1$*  if for each  $y \in X$  and  $y^* \in Y^*$  the mapping  $x \mapsto (y^* \circ f)'(x; y)$  is continuous on  $X$ . Now if  $Y$  is

reflexive and for each fixed  $x_0 \in X$  and  $y \in X$  the mapping  $T_y^{x_0} : Y^* \rightarrow \mathbb{R}$  defined by  $T_y^{x_0}(y^*) := (y^* \circ f)'(x_0; y)$  is a bounded linear functional on  $Y^*$  (note: this mapping is necessarily linear) then  $\text{weak-}\lim_{t \rightarrow 0} [f(x_0 + ty) - f(x_0)]/t$  exists. Moreover, by examining the proof of Corollary 4 we see that if  $f$  is norm-to-weak continuous and weakly  $C^1$  then for each  $x_0 \in X$  such that each  $T_y^{x_0}$  is bounded for all  $y \in X$ , the mapping  $y \mapsto f'(x; y)$  is a bounded linear operator between  $X$  and  $Y$ .

## References

- [1] Tom M. Apostol, *Calculus Vol. II: Multi-variable calculus and linear algebra, with applications to differential equations and probability*. Second edition *Blaisdell Publishing Co. Ginn and Co. Waltham, Mass.-Toronto, Ont.-London* 1969.
- [2] A. Bouziad, Continuity of separately continuous group actions in  $p$ -spaces, *Topology Appl.* **71** (1996), 119–124.
- [3] G. Hansel and J. P. Trollic, Quasicontinuity and Namioka's theorem, *Topology Appl.* **46** (1992), 135–149.
- [4] J. I. Kelley and I. Namioka, *Topological Linear Spaces*, Graduate Texts in Mathematics, No. 36. *Springer-Verlag, New York-Heidelberg*, 1976.
- [5] P. S. Kenderov, I. S. Kortezov and W. B. Moors, Topological games and topological groups, *Topology Appl.* **109** (2001), 157–165.
- [6] I. Namioka, Separate and joint continuity, *Pacific J. Math.* **51** (1974), 515–531.
- [7] D. Noll, Souslin measurable homomorphisms of topological groups, *Arch. Math.* **59** (1992), 294–301.
- [8] B. J. Pettis, On continuity and openness of homomorphisms in topological groups, *Ann. of Math.* **52** (1950), 293–308.
- [9] Jean Saint Raymond, Jeux topologiques et espaces de Namioka, *Proc. Amer. Math. Soc.* **87** (1983), 499–504.