MATHS 255

## **Tuesday:** Orderings

#### Properties of relations [4.1]

**Example 1 (4.1.10).** Consider the following relations. Which, if any, of the four properties reflexive, symmetric, antisymmetric and transitive, do these relations have?

- 1.  $A = \mathbb{N}, x \sim y$  if x + y is even.
- 2.  $A = \mathbb{N}, x \sim y$  if x + y is odd.
- 3.  $A = \mathcal{P}(\mathbb{N}), x \sim y \text{ if } x \subseteq y.$
- 4.  $A = \mathbb{R}, x \sim y \text{ if } x = 2y.$
- 5.  $A = \mathbb{R}, x \sim y$  if x y is rational.

**Example 2.** Recall that for  $m, n \in \mathbb{Z}$ ,  $m \mid n$  if there is some  $a \in \mathbb{Z}$  with n = ma. Show that  $\mid$  is reflexive and transitive and not symmetric. Show that  $\mid$  is not antisymmetric on  $\mathbb{Z}$  but is antisymmetric on  $\mathbb{N}$ .

### Partial and total orderings [4.2]

**Definition.** A relation  $\rho$  on a set A is a partial order (or partial ordering) if  $\rho$  is reflexive, antisymmetric and transitive.

If  $\rho$  is a partial order on A, we say that A is a partially ordered set under  $\rho$ , or that the pair  $(A, \rho)$  is a partially ordered set. We often abbreviate "partially ordered set" to poset.

**Example 3.** From Example 2 we see that | is a partial order on  $\mathbb{N}$ .

**Example 4.** Let A be a set, and let  $S = \mathcal{P}(A)$ . Then S is a poset under the relation  $\subseteq$ .

We have the convention of using the symbol  $\leq$  for a typical partial order. Sometimes we will use the symbol  $\leq$  instead, particularly when the usual order on the real numbers is being used in the definition of our order.

**Example 5.** Let  $A = \mathbb{R}^2 = \mathbb{R} \times \mathbb{R}$ , and define a relation  $\preceq$  on A by declaring that

 $(u,v) \preceq (x,y) \iff u \le x \land v \le y.$ 

Then  $\leq$  is a partial order.

*Proof.* We must show  $\leq$  is reflexive, antisymmetric and transitive.

**Reflexive:** Let  $(x, y) \in A$ . Then  $x \leq x$  and  $y \leq y$  so  $(x, y) \preceq (x, y)$ .

- **Antisymmetric:** Let  $(x, y), (u, v) \in A$  with  $(x, y) \preceq (u, v)$  and  $(u, v) \preceq (x, y)$ . Then  $x \leq u$  and  $y \leq v$ , and  $u \leq x$  and  $v \leq y$ . Combining these we have  $x \leq u \leq x$ , so x = u, and  $y \leq v \leq y$ , so y = v. Hence (x, y) = (u, v), as required.
- **Transitive:** Let  $(x, y), (u, v), (z, w) \in A$  with  $(x, y) \preceq (u, v)$  and  $(u, v) \preceq (z, w)$ . Then  $x \leq u$  and  $y \leq v$ , and  $u \leq z$  and  $v \leq w$ . Combining these we have  $x \leq u \leq z$ , so  $x \leq z$ , and  $y \leq v \leq w$ , so  $y \leq w$ . Hence  $(x, y) \preceq (z, w)$ , as required.

The name "partial" order is supposed to allow the possibility that not all the elements are ranked in the order: for example, in the previous example we have pairs (1,5) and (3,3) which are *incomparable*: we have both  $(1,5) \not\leq (3,3)$  and  $(3,3) \not\leq (1,5)$  (the first is because  $5 \not\leq 3$  and the second because  $3 \not\leq 1$ ).

**Definition.** A total order or total ordering on a set A is a partial order  $\leq$  on A with the additional property that for any  $a, b \in A$  we have  $a \leq b$  or  $b \leq a$ .

# Wednesday: Lattice diagrams, maximal elements and greatest elements

## Lattice diagrams [4.2]

A good way to represent a (reasonably small) poset is with a *lattice diagram*. To understand these, first we need some notation. If  $\leq$  is a partial order on A, we define a new relation  $\prec$  on A by

$$x \prec y \iff x \preceq y \land x \neq y.$$

Similarly, if  $\leq$  is a partial order on A we define a new relation < by declaring that  $x < y \iff x \leq y$  and  $x \neq y$ .

**Definition.** Let  $\leq$  be a partial order on A, and let  $a, b \in A$ . Then b covers a if  $a \prec b$  and there does not exist any  $c \in A$  with  $a \prec c \prec b$ .

**Example 6.** In the poset  $\mathbb{Z}$ , with its usual ordering, n + 1 covers n for each n.

To draw a lattice diagram for a poset A, we put a blob for each element of A, and an edge going up from a to b if b covers a. We can then see that for  $a, b \in A$ ,  $a \prec b$  if there is a path going upwards from a to b.

**Example 7.** Let  $A = \{n \in \mathbb{N} : n \mid 30\} = \{1, 2, 3, 5, 6, 10, 15, 30\}$ . Draw a lattice diagram for the poset (A, |).

**Example 8.** Draw a lattice diagram for the poset  $(\mathcal{P}(\{1,2,3\}),\subseteq)$ .

#### Maximal and minimal, greatest and least elements [4.2]

**Definition.** Let  $(A, \leq)$  be a poset, and let  $a \in A$ . Then a is maximal if there is no  $b \in A$  with a < b, and minimal if there is no  $b \in A$  with b < a. Further, a is a greatest element if  $b \leq a$  for all  $b \in A$  and a least element if  $a \leq b$  for all  $b \in A$ .

**Example 9.** Draw a lattice diagram for (A, mid), where  $A = \{1, 2, 3, ..., 10\}$ . Identify any maximal and minimal elements and any greatest and least elements.

**Theorem 10.** If a poset A has a greatest element, then it is unique. Likewise, if A has a least element then it is unique.

Note that we can find examples where A has no greatest or least element, and we can find examples where there are more one maximal elements or minimal elements.

Every **finite** partial order has at least one maximal element and one minimal element. However, the poset  $(\mathbb{Z}, \leq)$  has no maximal or minimal elements.

## Thursday: Least upper bounds and partitions

## Upper bounds and lower bounds [4.2]

**Definition.** Let A be a poset and  $S \subseteq A$ . Then u is an upper bound for S if  $(\forall a \in S)(a \leq u)$ , and l is a lower bound for S if  $(\forall a \in S)(l \leq a)$ .

**Example 11.** Let  $A = \{1, 2, ..., 10\}$ , partially ordered by |. Find any upper and lower bounds of the sets  $\{2, 3\}, \{2, 5\}$  and  $\{3, 5\}$ .

**Definition.** Let A be a poset and let  $S \subseteq A$ . An element  $s \in A$  is a least upper bound or supremum for S if

- s is an upper bound for S, and
- if u is also an upper bound for S then  $s \leq u$ .

If S has a supremum, then the supremum is unique. However, there are some partial orders with subsets which have no supremum, either because S has no upper bounds at all or because there is no least element in the set of upper bounds of S.

**Definition.** Let A be a poset. We say that A has the least upper bound property if every nonempty subset of A with at least one upper bound has a least upper bound.

**Example 12.** The poset  $(\mathbb{R}, \leq)$  has the least upper bound property: this is one of the axioms for the real numbers we will see in Chapter 8.

**Example 13.** The poset  $(\mathbb{N}, |)$  has the least upper bound property: we will prove this in Chapter 6.

## Partitions [4.3]

**Definition.** Let S be a set. Then  $\Omega$  is a partition of S if

- $\Omega \subseteq \mathcal{P}(S) \setminus \{\emptyset\}; and$
- if  $A, B \in \Omega$  with  $A \neq B$  then  $A \cap B = \emptyset$ ; and
- $S = \bigcup_{A \in \Omega} A.$

In other words,  $\Omega$  is a pairwise disjoin family of nonempty subsets of S such that every element of S is in one of the sets in  $\Omega$ .

**Example 14.** Let  $O = \{2n + 1 : n \in \mathbb{Z}\}, E = \{2n : n \in \mathbb{Z}\}$ . Then  $\Omega = \{O, E\}$  is a partition of  $\mathbb{Z}$ . **Example 15.** The family  $\{[n, n + 1) : n \in \mathbb{Z}\}$  is a partition of  $\mathbb{R}$ . **Example 16.** Find all possible partitions of  $\{1, 2, 3\}$ .

## Friday: Equivalence relations

### The set of relatives

Let  $\sim$  be a relation on a set A. For  $a \in A$ , define the set of relatives of a to be

$$T_a = \{ x \in A : a \sim x \}.$$

Notice that  $\sim$  is reflexive iff  $a \in T_a$  for all  $a \in A$ . So if sim is reflexive then  $\Omega = \{T_a : a \in A\}$  will be a family of nonempty subsets of A whose union is A.

**Definition.** An equivalence relation on a set A is a relation on A which is reflexive, symmetric and transitive.

**Example 17.** Define  $\sim$  on  $\mathbb{Z}$  by declaring that for  $m, n \in \mathbb{Z}$  we have

$$m \sim n \iff 5 \mid m - n.$$

Then  $\sim$  is an equivalence relation.

**Theorem 18.** If  $\sim$  is an equivalence relation on A and  $a, b \in A$  then the following are equivalent:

- 1.  $a \sim b$ .
- 2.  $T_a \cap T_b \neq \emptyset$ .
- 3.  $T_a = t_b$ .

**Theorem 19.** If  $\sim$  is an equivalence relation on A then  $\Omega = \{T_a : a \in A\}$  is a partition of A.

Conversely, if  $\Omega$  is a partition of A then the relation ~ defined by declaring that

$$x \sim y \iff (\exists A \in \Omega) (a \in A \land b \in A)$$

is an equivalence relation and  $\Omega = \{ T_a : a \in A \}.$